



CLEARING AND SETTLEMENT INFORMATION APPLICABLE WITH NASDAQ CSD

Name of the Member:

Registration number:

Participant ID:

Nasdaq CSD Settlement System	Direct Membership of CSD*	Through a Custodian**	Name of Custodian	CSD Clearing Sign (ID)***
Nasdaq CSD Estonian Settlement System				
Nasdaq CSD Latvian Settlement System				
Nasdaq CSD Lithuanian Settlement System				

*Member will contact Nasdaq CSD and apply for direct membership at the following Nasdaq CSD Settlement System. Nasdaq CSD Participant may use Nasdaq CSD trade capture service enabling Nasdaq CSD to obtain trade data from the respective Exchange.

**Member will contact the Custodian to ensure the correct set up in own systems, as well as those of Custodian and CSD. Please state the name of the Custodian. Nasdaq CSD Participant may use Nasdaq CSD trade capture service enabling Nasdaq CSD to obtain trade data from the respective Exchange.

***Please indicate the BIC code of the Custodian or, in case of direct membership of CSD, company's BIC code.

Signature:

Name and title:

Contact person for the application:

Contact e-mail:

Date:

Please sign and return this form (scanned or physical) to both the Key Account Manager of the Member and to tsbaltic@nasdaq.com

Please note that you are obliged to always keep Nasdaq informed of any changes regarding Custody Bank. Information about any changes regarding Custody Bank shall be provided to Nasdaq well in advance of any changes taking effect.