



**NASDAQ Copenhagen A/S  
NASDAQ Helsinki Ltd  
NASDAQ Iceland hf.  
NASDAQ Stockholm AB**

**Rules for the Construction and Maintenance of the**

**NASDAQ OMX All-Share, Benchmark and  
Sector Indexes**

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## **Definitions**

### **CSD**

Central Securities Depository

### **VPC**

Euroclear Sweden AB / Värdepapperscentralen

### **VP**

VP Securities / Værdipapircentralen

### **APK**

Euroclear Finland / Suomen Arvopaperikeskus Helsingissä

### **VS**

Iceland Securities Depository / Verðbréfasráning Íslands

### **VPS**

The Norwegian Central Securities Depository / Verdipapirsentralen i Norge

### **NASDAQ Nordic Exchanges**

NASDAQ Stockholm, NASDAQ Helsinki, NASDAQ Copenhagen and NASDAQ Iceland

### **Exchanges**

NASDAQ Stockholm, NASDAQ Helsinki, NASDAQ Copenhagen NASDAQ Iceland and Oslo Børs.

# 1 INTRODUCTION

NASDAQ, Inc. and its affiliates (collectively “NASDAQ”), own and calculate the stock indexes<sup>1</sup> (the “Indexes”) and has granted NASDAQ OMX Stockholm AB (“NASDAQ Stockholm” or the “Exchange”) and the co-operating exchanges the right to use the Index in connection with trading in and clearing of contracts related to the Indexes in accordance with these rules and regulations.

This booklet describes how NASDAQ calculates the All-Share, Sector, Small Cap, Benchmark and Tradable Indexes. It is aimed at users, investors and others interested in the construction and computation of such indexes.

NASDAQ also calculates Tradable Indexes; OMXC20 in Copenhagen, OMXI8 in Reykjavik, OMXS30 in Stockholm and OMXH25 in Helsinki. However the descriptions of the calculation of these indexes are not included in this booklet. Please refer to respective methodologies for more information.

## 1.1 THE INDEX FAMILY

The index family can basically be divided into two groups:

### All-Share Index and the Sector Indexes

The objective of the indexes in the first group is to represent the overall state and changes in the level of the economy. The first group includes the All-Share Index and the Sector Indexes. The focal point of the first group is to mimic the population of shares representing the index, without complying with liquidity and stability requirements. Since there is no filtering for liquidity, the indexes themselves may not be easy to replicate in a portfolio or benchmark against and the pricing of the shares, and hence the index level, may lag due to infrequent trading in the underlying shares.

### Benchmark-, Small Cap- and Tradable Indexes

The second group is focused on investability and periodic stability in the Index Shares, while still reflecting the population of shares from where the index was constructed. The objective of the Benchmark Index is to reduce administrative routines and costs due to continuous re-balancing of the portfolio and secure tradability of the Index Shares in order for the index to function as an investible benchmark for the investment community. In the second group we find the Benchmark-, Small Cap- and Tradable Indexes.

## 1.2 NASDAQ INDEX COMMITTEE

NASDAQ carries out the periodically and daily maintenance of the indexes, such as calculating and distributing the index levels and calculation parameters, in accordance with these rules.

NASDAQ has an Index Committee. The role of the NASDAQ Index Committee is to determine and review these rules and to consider and agree on additions and deletions in accordance with these rules, especially for the semi-annual review of the Benchmark Index.

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<sup>1</sup> See Appendix B for list of relevant indexes.

## 1.3 INDEX CURRENCY

An index that consists of shares quoted in different currencies as well as the underlying prices of the Index Shares, are converted into the index currency.

Foreign exchange rate is the WM Company, Closing Spot Rate at 16:00:00 UK time in the calculation of the End Of Day ("EOD") Index Value<sup>2</sup>.

SIX Financial Information Intraday Spot Rate is applied to the real time Index calculation during the trading day.

## 2 SECTOR CLASSIFICATION

### 2.1 NASDAQ AND FTSE GROUP (FTSE)

NASDAQ has entered into a licence agreement with FTSE with respect to classification of shares listed on NASDAQ under the Industry Classification Benchmark (ICB)<sup>3</sup>.

NASDAQ has adopted the ICB as the official and primary method for classification of all share classes listed on NASDAQ. The ICB system is comprised of four levels of classification: Industry, Supersector, Sector and Subsector.

#### 2.1.1 THE CLASSIFICATION

ICB is a detailed and comprehensive structure for sector and industry analysis, facilitating the comparison of companies across four levels of classification and national boundaries. The system allocates companies to the Subsector whose definition most closely describes the nature of its business. The nature of a company's business is determined by its source of revenue or where it constitutes the majority of revenue. If a significant change takes place in a company's structure as a result of a corporate event, its industry classification may be reassessed by FTSE. The ICB consists of 10 Industries, 19 Supersectors, 41 Sectors and 114 Subsectors.<sup>7</sup> The 10 industries of the first level are as follow:

- 0001 Oil & Gas
- 1000 Basic Materials
- 2000 Industrials
- 3000 Consumer Goods
- 4000 Health Care
- 5000 Consumer Services
- 6000 Telecommunications
- 7000 Utilities

<sup>2</sup> The WM/Reuters Spot Rates provided by The World Markets Company plc ("WM") in conjunction with Reuters. WM shall not be liable for any errors in or delays in providing or making available the data contained within this service or for any actions taken in reliance on the same, except to the extent that the same is directly caused by its or its employees' negligence

<sup>3</sup> The Industry Classification Benchmark ("ICB") is a product of FTSE International Limited and has been licensed for use. "FTSE®" is a trade mark of London Stock Exchange and is used by FTSE under license. FTSE and their licensors and affiliates do not accept liability to any person for any loss or damage arising out of any error or omission in the ICB. No use or distribution of ICB may be made without a license from FTSE. For additional information about the Industry Classification Benchmark (ICB) see Appendix A.

8000 Financials  
9000 Technology

The key features of the ICB are<sup>4</sup>:

- + Reliable: Delivered on a timely basis to ensure that new and changing securities are represented.
- + Comprehensive: Comprehensive universe of stocks covering virtually any security an investment professional will encounter.
- + Accurate:
  1. Single classification system with high sector correlation
  2. Continuously monitored by a professional research team
  3. Governed by an independent committee

Note: Companies that are classified as Sector 8980 Equity Investment Instruments or Sector 8990 Non equity Investment Instruments are not ineligible for index population.

### 2.1.2 COMPANY CLASSIFICATION GUIDELINES

See the ICB rules for more information about the guidelines<sup>5</sup>.

## 3 INDEX CONSTRUCTION AND MAINTENANCE

### 3.1 INDEX SHARE ELIGIBILITY

In principle, all shares listed on NASDAQ Nordic Exchanges are eligible for inclusion. However, certain criteria such as liquidity may make a share ineligible for index purposes.

- + Companies where 90 % or more of the outstanding shares are controlled by a single shareholder will be deemed ineligible;
- + For the Index Shares with multiple listings on the NASDAQ Nordic Exchanges and primary listing or national domicile in one of the countries can only be included once in index. The country with the highest orderbook turnover captures the total market capitalization in the index. A revision will be conducted every 6 months to determine which orderbook that will apply. If a company is listed with the majority of the shares outside the Nordic region and listed on two Exchanges, the sum of the numbers of shares registered in the Nordic countries CSDs will be included in index.

When the domicile of a security is determined, a number of criteria are used. These include headquarters of the company, registration, listing, place of operations and residence of the senior office. NASDAQ takes into account all considerations before deciding on the domicile of a company;

- + NASDAQ defines the number of shares in the index by the domicile. A company whose shares have a secondary listing on the Exchanges or a foreign domicile is also eligible for index inclusion. For secondary listings and foreign domicile, only the part of the outstanding

<sup>4</sup> "ICB Database", *Industry Classification Benchmark (ICB)*, <http://www.icbenchmark.com>

<sup>5</sup> "ICB Database", *Industry Classification Benchmark (ICB)*, <http://www.icbenchmark.com>

shares which is registered in NASDAQ OMXCSDs (VPC, VP and APK) is eligible for inclusion;

- + The Danish legislation does not make it mandatory for shares to be registered with the Danish Securities Center (VP). For secondary listings that are not registered with the VP, NASDAQ Copenhagen uses a model primarily based on the relative turnover in number of shares on the Exchange compared with the company's total turnover in number of shares on the principal place of listing;
- + If a company has listed multiple share classes, each of which is priced and traded separately, all share classes are eligible for inclusion and are treated as separate shares. Interim shares are also treated as separate shares from the company's existing share class;
- + For the Small Cap Indexes, if a company has listed multiple share classes, only the share type with highest turnover is represented in the index. The market capitalization is calculated on a company level, by multiplying total number of ordinary shares with the closing price for the share type with highest turnover;
- + Security types not included in the index are closed-end funds, exchange traded funds, mutual funds, unit-investment trusts, convertible debentures, preferred stocks, rights, warrants, and other derivative securities; and
- + For NASDAQ indexes, securities classified as '8985 Equity Investment Instruments' or '8995 Non equity Investment Instruments' according to FTSE ICB are not considered eligible for index inclusion.

## **3.2 ALL-SHARE AND SECTOR INDEXES**

### **3.2.1 GENERAL**

The All-Share, List Segment Indexes and Sector Indexes are by construction not intended to be investible indexes. The maintenance of the Index Shares is carried out on a daily basis to reflect the continuous changes in outstanding shares and listed companies. New listings shall be included on the second day of listing providing that the company has been assigned the ICB classification.

### **3.2.2 ALL-SHARE INDEX**

The All-Share Index consists of all shares listed on NASDAQ Nordic Exchanges.

### **3.2.3 LIST SEGMENT INDEXES**

All listed shares are included in the NASDAQ List segment Indexes. All NASDAQ companies are divided into three segments: Large Cap, Mid Cap and Small Cap. Companies with a market value over one billion Euros are presented within the Nordic Large Cap segment. Companies with a market value between 150 million and 1 billion Euros are contained within the Mid Cap segment, while companies with a market value below 150 million Euros are contained in the Small Cap segment. The segments are revised annually based on the weighted average price for November and become effective in indexes as of the first trading day in January.



### **3.2.4 SECTOR INDEXES**

A sector index is active when it includes one or more eligible securities. A sector index with no securities is closed until one or more shares are eligible for index inclusion. When resuming a sector index, an index base value and base date are both reset in the index.

Each of the Industry Indexes, Supersector Indexes and Sector Indexes consists of all shares listed on NASDAQ in its respective Industry, Supersector, and Sector (ICB level 1, 2 and 3). The Nordic Sector Indexes are calculated on Industry and Supersector level (ICB level 1 and 2).

## **3.3 BENCHMARK INDEX**

### **3.3.1 PERIODIC REVIEW OF THE BENCHMARK INDEX**

The Benchmark Index shall be an investible index, representing all shares listed on the respective NASDAQ Nordic Exchanges. In order to fulfil conditions set forth in the object of the index, the Benchmark Index is reviewed semi-annually by NASDAQ who applies the four criteria in section 3.3.2-3.3.4 below. In the period between reviews the number of shares for each share applied in the index calculation is fixed with the exception of adjustments for corporate actions with priority for existing shareholders. NASDAQ will provide both an uncapped and a capped version of the Benchmark Index, and the market capitalization limit is described in section 3.3.4 below.

The review is conducted on the basis of figures as of after closing on the last trading day of October and April. Implementation of the reviewed index will take effect from the first trading day of December and June, respectively. Rebalancing will be undertaken using closing prices the day prior to implementation.

### **3.3.2 LIQUIDITY AND INDUSTRY TARGET CRITERIA**

The following five-step process is applied selecting the shares that constitute the index:

- (i) To avoid seasonal fluctuations all eligible shares listed on the NASDAQ Nordic Exchanges are sorted according to the previous 12 months official turnover;
- (ii) Shares with lowest turnover according to (i) corresponding to 30% in number are deemed ineligible;
- (iii) Shares with highest turnover according to (i) corresponding to 10% but minimum 25 shares are tagged qualified;
- (iv) All shares according to (i) are sorted according to Supersectors (ICB level 2). Within each Supersector, shares are sorted according to the free float-adjusted market capitalization; and
- (v) Within each Supersector, shares are elected according to ordering in (iv), highest free float-adjusted market capitalization first, until at least 85% of the Supersector's free float-adjusted market capitalization is reached. If needed additional shares with highest turnover according to (iii) are added. The shares with lowest turnover according to (ii) are removed after the selection is made and will not be replaced by other eligible shares. The target of at least 85% of each Supersector's free float-adjusted market capitalization may not be reached since some shares according to (ii) are removed.

If a significant portion of the trading volume of a share which qualifies as an Index Share is attributable to a few transactions or to transactions within a limited time period so that the

registered volume is considered not to be representative, NASDAQ may decide that the share is ineligible.

If a company gains control of 90 % of the outstanding shares in another company, according to section 5.8 below, in the period between October 31 / April 30 and time for publishing the preliminary composition, the acquired company will not be included in the selection process. During a merger or take-over when the outcome is uncertain NASDAQ reserves the right to publish more than one preliminary composition.

If a company changes from secondary to primary listing or changes domicile, the company will inherit historical turnover figures.

### **3.3.3 FREE FLOAT**

Free float is important when constructing an investable index. The objective of free float criteria is to improve the index's overall investability.

Free float is the proportion of the total amount of share capital available to ordinary investors compared to the total number of listed shares of a company. The balance of a company's share capital will be in the form of strategic holdings, effectively excluded from normal trading.

Free float will utilize the following formula:

Free float = Total Shares Outstanding (TSO) – holders of  $\geq 5\%$  of the TSO excluding Hedge Funds

A low free float will not exclude a company from an index but will restrict the percentage portion of the outstanding shares, which is included in the Benchmark Index calculation.

Changes in free float are only made effective in connection with the semi-annual review unless the index's ability to mimic the total market is seriously deteriorated or the free float has changed considerably as a result of a takeover according to section 5.8 below prior to the semi-annual review date.

### **3.3.4 MARKET CAPITALIZATION LIMIT (CAPPING)**

NASDAQ will provide uncapped and capped versions of selected indexes.

If one or few Index Shares dominates the index due to a large weight in the index, two problems arise. The Index Share or its industry may be over-represented in the index and skew the index performance compared to the investment universe of all shares listed on NASDAQ Nordic Exchanges. The index is no longer investible for a majority of the users who benchmark against the index due to possible investment restrictions.

Consequently, to comply with demands of an investible index, the capped version of the selected indexes is constructed in a manner that complies with the investment restrictions implied by legislation regulating investments in mutual funds.

The following index weight restrictions must be met to comply with the legislation regulating investments in mutual funds:

- + The market value of Index Shares issued by the same body may not exceed 10 % of the index total market value; and
- + The market value of Index Shares issued by the same body exceeding 5 % index weight must not combined exceed 40 % of the index' total market value.

NASDAQ is maintaining the weighting restrictions by using a quarterly and daily procedure. The weighting restrictions will be imposed by adjusting the number of shares of the Index Share  $q_{i,t}$ . Quarterly capping is applied on the first trading day in March, June, September and December respectively.

#### **3.3.4.1 Quarterly adjustment**

Selection of Index Shares to the 40 % group on quarterly basis: Index Shares are ranked by their company market value. Index Shares issued by the same body exceeding the 9 % limit will be capped at 9 %. Index Shares are separated into two groups. The first group is filled with Index Shares issued by the same body weighting between 4.5 and 9 % until the total weight has reached the maximum of 36 %.

The initial capping limit for the second group will be 4.5 %. This means that limits are used initially for all Index Shares in the index when the weights are set on quarterly basis. The limits imposed by legislative authorities (5, 10 and 40 %) will in the daily capping process be used as threshold values for the capped companies.

The process of the quarterly division of groups will be applied intra quarterly in the event of a Fast Entry.

#### **3.3.4.2 Daily adjustment**

If needed, rebalancing is done at the close of each day. Index Shares issued by the same body exceeding the 10 % limit will be capped at 9 %. Index Shares issued by the same body initially capped at 4.5 and 9 % will be allowed to float freely up to 10 %. If the total weight of the Index Shares issued by the same body above 5 % exceeds 40 %, Index Shares issued by the same body above 5 % with the smallest weight are capped to 4.5 %. Using a stepwise approach Index Shares are reduced in weight until all weight restrictions are satisfied. This procedure is applied once a day, and as a consequence, the weight of the Index Shares may exceed the 40 and 10 % limits during the day.

#### **3.3.4.3 OMX Helsinki Cap**

If needed, weight limitation is done at the close of each day. Index Shares issued by the same body exceeding the 10 % limit will be capped at 10 %. Using a stepwise approach the Index Shares are reduced in weight until weight restrictions are satisfied. This procedure is applied once a day, and as a consequence, the weight of the Index Shares may exceed 10 % limits during the day.

### **3.4 TRADABLE INDEX (OMXN40)**

### 3.4.1 GENERAL

The index consists of the 40 largest and most actively traded stocks on the NASDAQ Nordic Exchanges. The Index is evaluated semi-annually to allow for continued and correct representation of changing equity markets. The review dates are set to the third Friday in December and third Friday in June. The review is conducted in Euro.

### 3.4.2 SELECTION CRITERIA, FIRST TIME SELECTION

The following method is used in the selection of shares in the index:

- + All shares are ranked according to free float adjusted market capitalization;
- + All shares are ranked according to six months official turnover during a Control Period<sup>6</sup>, in Euro; and
- + The 40 largest shares according to free float adjusted market capitalization are first included. If all of the 40 selected shares are among the top 45 most liquid shares, they are all qualified as Index Shares. If one or more shares are not among the top 45 liquid companies, the share(s) will be replaced by the share that is closest to qualify.

### 3.4.3 SELECTION CRITERIA, CONTINUOUSLY REVIEW

To keep the population stable the following rules are applied in the continuously semi-annually selection of shares in the index:

- (i) All shares are ranked according to free float adjusted market capitalization in Euro;
- (ii) All shares are ranked according to six months official turnover in Euro;
- (iii) Shares from the current index portfolio qualify first;
- (iv) Shares among the top 35 in the market capitalization and turnover ranking will automatically qualify as Index Shares. If a share among top 35 is not in the current index portfolio, it will replace the share with the lowest market capitalization and ranking lower than 45 in market capitalization or turnover. If no such share exists in the current index portfolio, the share with the lowest market capitalization is replaced; and
- (v) Shares in the current index portfolio with ranking lower than 45 in (i) or (ii) will be replaced by shares with the highest market capitalization and liquidity equal to or better than 45 if such share exists.

## 3.5 OMX GES ETHICAL INDEX

### 3.5.1 GENERAL

OMX GES Ethical Index has been constructed with the objective of creating an index based on the market development of all companies listed on NASDAQ Copenhagen, NASDAQ Helsinki, NASDAQ Stockholm and Oslo Børs. OMX GES Ethical Index was listed at 100 for the first time on December 28, 2001. The index is calculated when at least one of the four Exchanges, Stockholm, Oslo, Copenhagen and Helsinki is open for trading.

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<sup>6</sup> Control Period means the six months period, starting seven months before the review date. Thus, the Control Period for the second half of a given year is the six-month period ending in May that same year. Turnover figures from the Control Period are used in the semi-annual reviews.

The OMX GES Ethical Indexes are screened by GES Investment Services. Companies that do not comply with GES Global Ethical Standards and GES Controversial are removed. The index family consists of the main index OMXETHICALNC and four country specific indexes (OMXETHICALSE, OMXETHICALNO, OMXETHICALFI and OMXETHICALDK). The indexes are adjusted for dividends and weight limited according to UCITS.

### **3.5.2 DEFINITION OF THE INDEX SHARE**

OMX GES Ethical index populations are reviewed semi-annually. GES screens the listed companies and makes a selection based on GES Global Ethical Standards and GES Controversial. Companies who do not meet the ethical requirements cannot qualify as Index Shares. The review is made on the last trading day in May and November, and affects the index population from the first trading day in July and January.

For depositary receipts (DRs), the market value is calculated by multiplying the total number of DRs with the closing price. DRs listed in Sweden and Norway are included in the index from 2002-01-02 (start date of the index). DRs recorded in Denmark and Finland are included in the index from 2003-01-02.

## **3.6 NASDAQ OMX SMALL CAP SWEDEN**

### **3.6.1 GENERAL**

NASDAQ OMX Small Cap Index shall is a portfolio index representing the Swedish small and mid-cap investment segments. The index captures the bottom 20% of the companies in terms of market capitalization on NASDAQ Stockholm.

The index constituents are adjusted for free float and are subject to a liquidity screening to ensure the investability of the index.

The index portfolio selection is derived from the Nordic Small Cap index (VINX Small Cap). For the selection rules of the VINX Small Cap Index, please see "*Rules for the Construction and Maintenance of the VINX All-Share, Benchmark, Tradable and Sector Indexes*".

## **4 INDEX CALCULATIONS**

### **4.1 GENERAL**

NASDAQ disseminates and calculates the index values in real-time.

### **4.2 FORMULA**

#### **4.2.1 PRICE RETURN INDEX CALCULATION**

The Price Return (PR) Index Value reflects changes in market value of Index Shares during the trading day. There are two calculation methods for the PR Index:

- (1) The PR Index that is calculated without regard to ordinary and extraordinary dividends; and

- (2) The PR Index that is calculated without regard to ordinary dividends. The latter PR Index has linkage (same Index Divisor) to a corresponding GTR Index. See Appendix B for the list of calculation methods. The formula is as follows:

$$PR I_t = \frac{PR Index Market Value_t}{PR Index Divisor_t}$$

$PR I_t$  = Price Return Index Value at time (t)

$$PR Index Market Value_t = \sum_{i=1}^n q_{i,t} * p_{i,t} * r_{i,t}$$

where;

$q_{i,t}$  = Number of shares (i) applied in the Index at time (t)

$p_{i,t}$  = Price in quote currency of a security (i) at time (t)

$r_{i,t}$  = Foreign exchange rate to convert Index Share (i) quote currency into Index currency at time (t)

Foreign exchange rate is the WM Company, Closing Spot Rate at 16:00:00 UK time in the calculation of the EOD Index Value.

SIX Financial Information Intraday Spot Rate is applied to the real time Index calculation during the trading day.

The Divisor serves the purpose of scaling an Index Market Value to lower order of magnitude, which is recommended for reporting purposes. The Divisor is adjusted to ensure that changes in Index Shares either by corporate actions or index participation occurring outside of trading hours do not affect the value of the Index. A divisor change occurs after the close of Index the Index. The PR Divisor for day (t) is calculated as the ratio of the Start Of Day (SOD) Market Value and the previous day's Index Value as follows:

$$PR SOD Index Divisor_t = \frac{PR SOD Index Market Value_t}{PR I_{t-1}}$$

$PR I_{t-1}$  = Price Return Index Value at time (t-1)

$$PR Index SOD Market Value_t = \sum_{i=1}^n q_i * p_i * r_{i,t-1}$$

where;

$q_i$  = Number of shares (i) applied in the Index, adjusted to reflect corporate actions if any

$p_i$  = Price in quote currency of a security (i), adjusted to reflect corporate actions if any

$r_{i,t-1}$  = Foreign exchange rate to convert Index Share (i) quote currency into Index currency at time (t-1)

Foreign exchange rate is the previous day's WM Company, Closing Spot Rate at 16:00:00 UK time<sup>7</sup> in the calculation of the SOD Index Market Value.

<sup>7</sup> The WM/ Reuters Spot Rates provided by The World Markets Company plc ("WM") in conjunction with Thomson Reuters. WM shall not be liable for any errors in or delays in providing or making available the data contained within this service or for any actions taken in reliance on the same, except to the extent that the same is directly caused by its or its employees' negligence.

The PR Index that is calculated without regard to ordinary dividends reflects extraordinary dividends. The reinvestment of extraordinary dividend is carried out by adjusting the  $p_{i,t-1}$  in the Index with subtraction of extraordinary dividend from its price on the ex-dividend date (t). This adjustment reinvests the dividend in all Index Shares in proportion to their respective weights.

## 4.2.2 GROSS TOTAL RETURN INDEX CALCULATION

The Gross Total Return (GTR) Index Value reflects changes in market value of Index Shares during the trading day. There are two calculation methods for the GTR Index:

- (1) The GTR Index with linkage (same Index Divisor) to a corresponding PR Index; and
- (2) The GTR Index with no linkage to a corresponding PR Index. See Appendix B for the list of calculation methods. The formula is as follows:

$$GTR I_t = GTR I_{t-1} \times \frac{PR I_t + IDP_t}{PR I_{t-1}}$$

$GTR I_t$  = Gross Total Return Index Value at time (t)

$GTR I_{t-1}$  = Gross Total Return Index Value at time (t-1)

$PR I_t$  = Price Return Index Value at time (t)

$PR I_{t-1}$  = Price Return Index Value at time (t-1)

$$IDP_t \text{ (Index Dividend Points)} = \frac{\text{Index Dividend Market Value}_t}{\text{Index Divisor}_t}$$

$$\text{Index Dividend Market Value}_t = \sum_{i=1}^n q_{i,t} * d_{i,t} * r_{i,t-1}$$

where;

$q_{i,t}$  = Number of shares (i) applied in the Index at time (t)

$d_{i,t}$  = Ordinary dividend in the index at time (t)

$r_{i,t-1}$  = Foreign exchange rate to convert Index Share (i) quote currency into Index currency at time (t-1)

For the GTR Index with no linkage to a corresponding PR Index, the Divisor is calculated as follows:

$$GTR \text{ SOD Index Divisor}_t = \frac{GTR \text{ SOD Index Market Value}_t}{PR I_{t-1}}$$

$$GTR \text{ SOD Index Market Value}_t = \sum_{i=1}^n q_i * p_i * r_{i,t-1}$$

where;

$q_i$  = Number of shares (i) applied in the Index, adjusted to reflect corporate actions if any

$p_i$  = Price in quote currency of a security (i), adjusted to reflect corporate actions if any

$r_{i,t-1}$  = Foreign exchange rate to convert Index Share (i) quote currency into Index currency at time (t-1)

$PR I_{t-1}$  is provided in Index File Report Products.



Foreign exchange rate is the previous day's WM Company, Closing Spot Rate at 16:00:00 UK time in the calculation of the SOD Index Market Value.

The GTR Index Value reflects ordinary and extraordinary dividends. The reinvestment of extraordinary dividend is carried out by adjusting the  $p_{i,t-1}$  in the Index with subtraction of extraordinary dividend from its price on the ex-dividend date (t). This adjustment reinvests the dividend in all Index Shares in proportion to their respective weights.

#### 4.2.3 NET TOTAL RETURN INDEX CALCULATION

The Net Total Return (NTR) Index Value reflects ordinary and extraordinary dividends adjusted for withholding tax rates. The formula is as follows:

$$NTR I_t = NTR I_{t-1} \times \frac{NPR I_t + NetIDP_t}{NPR I_{t-1}}$$

$NTR I_t$  = Net Total Return Index Value at time (t)

$NTR I_{t-1}$  = Net Total Return Index Value at time (t-1)

$NPR I_t$  = Net Price Return Index Value at time (t)

$NPR I_{t-1}$  = Net Price Return Index Value at time (t-1)

$$NPR I_t = \frac{NTR Index Market Value_t}{NTR Index Divisor_t}$$

**Net Price Return Index Value is available in index file report products. It is calculated only as the basis for the NTR and the GTR Index with no linkage to a corresponding PR Index.**

$$Net IDP_t \text{ (Net Index Dividend Points)} = \frac{Net Index Dividend Market Value_t}{NTR Index Divisor_t}$$

$$NTR Index Net Dividend Market Value_t = \sum_{i=1}^n q_{i,t} * (d_{i,t} * 1 - w_{i,t}) * r_{i,t-1}$$

where;

$q_{i,t}$  = Number of shares (i) applied in the Index at time (t)

$d_{i,t}$  = Ordinary dividend adjusted for withholding tax rate (WTR) in the Index at time (t)

$r_{i,t-1}$  = Foreign exchange rate to convert Index Share (i) quote currency into Index currency at time (t-1)

$w_{i,t}$  = Withholding tax rate applied for the Index Share at time (t)

The NTR Divisor for day (t) is calculated as the ratio of the SOD Market Value and the previous day's Index Value as follows:

$$NTR SOD Index Divisor_t = \frac{NTR SOD Index Market Value_t}{NTR I_{t-1}}$$



$NTR I_{t-1}$  = Net Total Return Index Value at time (t-1)

$$NTR SOD Index Market Value_t = \sum_{i=1}^n q_i * p_i * r_{i,t-1}$$

where;

$q_i$  = Number of shares (i) applied in the Index, adjusted to reflect corporate actions if any

$p_i$  = Price in quote currency of a security (i), adjusted to reflect corporate actions if any

$r_{i,t-1}$  = Foreign exchange rate to convert Index Share (i) quote currency into Index currency at time (t-1)

Foreign exchange rate is the previous day's WM Company, Closing Spot Rate at 16:00:00 UK time in the calculation of the SOD Index Market Value.

The NTR Index Value reflects ordinary and extraordinary dividends adjusted for withholding tax rates. The reinvestment of extraordinary dividend is carried out by adjusting the  $p_{i,t-1}$  in the Index with subtraction of extraordinary dividend less the withheld tax from its price on the ex-dividend date (t). This adjustment reinvests the dividend in all Index Shares in proportion to their respective weights.

## 4.3 LAST SALE PRICE

The Last Sale Price ("LSP") refers to the closing price reported on each Index Share's Exchange.

If an Index Share does not trade on its Exchange on a given day or the Exchange has not opened for trading, the most recent LSP from the Exchange (adjusted for corporate actions, if any) is used. If an Index Share is halted during the trading day the most recent LSP is used until trading resumes.

## 4.4 NUMBER OF SHARES

### 4.4.1 ALL-SHARE AND INDUSTRY/SECTOR INDEXES

The number of shares of a company applied in the index,  $q_{i,t}$  in the formula in section 4.2 above, is the current outstanding number of shares. If corporate actions cause adjustments to the index, the number of shares is changed to fully reflect the new market capitalization of the company in the index.

In connection with non-cash issues and similar issues, see section 5.6 below, NASDAQ makes the adjustment without delay.

For secondary listings, the number of shares for each share applied in the index calculation is changed by NASDAQ on the first trading day of each month. The adjustment is based on the number of shares, which is registered in relevant NASDAQ CSDs (VPC, APK and VP) at the time.

#### 4.4.2 BENCHMARK INDEX

The number of shares of an Index Share applied in the index,  $q_{i,t}$  in the formula in section 4.2 above is a result of the semi-annual review and is fixed in the period between reviews with the exception of adjustments for corporate actions with priority for existing shareholders. NASDAQ will provide both an uncapped and a capped version of the Benchmark Index, the market capitalization limit is described in section 3.3.4 above.

#### 4.4.3 TRADABLE INDEX

The number of shares of an Index Share applied in the index,  $q_{i,t}$  in the formula in section 4.2 above is a result of the semi-annual review and is fixed in the period between reviews with the exception of adjustments for corporate actions with priority for existing shareholders.

#### 4.4.4 SMALL CAP INDEX

The number of shares of an Index Share applied in the index,  $q_{i,t}$  in the formula in section 4.2 above is a result of the semi-annual review and is fixed in the period between reviews with the exception of adjustments for corporate actions with priority for existing shareholders

### 4.5 FIXING VALUE

The fixing value is calculated by using volume weighted average prices for each Index Share instead of Last Sale Price described in section 4.3 above.

The Exchanges are using the following formula to calculate fixing values:

$$\bar{I}_t = \frac{\sum_{i=1}^n q_{i,t} * \bar{p}_{i,t}}{\sum_{i=1}^n q_{i,t-1} * p_{i,t-1} * r_{i,t-1} * j_{i,t}} * I_{t-1},$$

where

$$\bar{p}_{i,t} = \frac{\sum_{j=1}^m n_{j,t} * p_{j,t} * r_{j,t}}{n_{m,t}}$$

The variable  $n_{j,t}$  is the number of shares in trade  $j$  on day  $t$  and  $n_{m,t}$  is the total number of shares traded on day  $t$ . The other variables are as in formula in section 4.2 above. When calculating the average price for the Index Share, only transactions which have been carried out during opening hours at a price which is within the range of the highest bid price and the lowest ask price for such share at the time of the transaction shall be taken into account. For shares quoted in other currencies than the index quote currency, the  $r_{j,t}$  is the exchange rate fixing nearest in time prior to the corresponding trade. By matching the exchange rate fixing and trade in time, the volume weighted average price is expressed in the quote currency of the index.

## 4.6 SUSPENSION AND TRADING HALT

In case of a suspension or trading halt of an Index Share, please refer to the trading rules of the relevant exchange.

## 5 CORPORATE ACTIONS

This section lists the most common corporate actions undertaken by listed companies and describes the appropriate adjustment applied by NASDAQ- to uphold the correct representation of the index performance. Re-balancing will be undertaken using LSP the day prior to implementation.

The following corporate actions may lead to an adjustment of the indexes.

- + Issues
- + Split / Reverse split and change in face value
- + Market price issue
- + Spin-off and adjustments for issues
- + Merger / take-over
- + Redemption and cancellation of shares

The adjustments effected after the individual events are described in detail below.

### 5.1 DISCRETIONARY ADJUSTMENTS

The relevant Exchanges decide which adjustment alternative shall be employed. The Exchanges may in the cases described below make other adjustments than those stated, if warranted by special circumstances. NASDAQ may also make adjustments to an index in other cases than stated below. Notwithstanding, the index adjustments shall wherever possible be in accordance with the aims set forth in section 4.2 above.

### 5.2 DIVIDENDS

If a dividend is quoted in a different currency than the Presentation Currency, the dividend amount will be recalculated using the t-1 WM Company Closing Spot Rate (1600 UK), where t-1 is the trading day before the ex-day.

#### 5.2.1 ORDINARY DIVIDENDS AND DISTRIBUTIONS

##### 5.2.1.1 Price Return Index

Adjustment shall not be made for the payment of ordinary dividends. Adjustment shall neither be made for stock dividends, cash distributions or for capital reductions where the whole or part of the distribution replaces an ordinary dividend according to NASDAQ's opinion.

### **5.2.1.2 Gross and Net Total Return Index**

Adjustment shall be made for the payment of ordinary dividends. Adjustment shall also be made for stock dividends, cash distributions or for capital reductions where the whole or part of the distribution replaces an ordinary dividend according to NASDAQ's opinion. The net total return indexes are calculated by reinvesting the dividend after deduction of withholding tax.

Ordinary dividend is added to the calculation as Index Dividend Points according to section 4.2.2 and 4.2.3 above respectively

## **5.2.2 EXTRAORDINARY DIVIDENDS AND DISTRIBUTIONS**

### **5.2.2.1 All index versions (Price, Gross, Net)**

Where a company, the shares of which constitute an Index Share, decides upon a distribution which according to the NASDAQ's opinion is additional or non-regular, NASDAQ shall carry out an adjustment. The price of the Index Share is adjusted for the amount of the extraordinary dividend.

The adjustment is carried out by adjusting the opening price of the Index Share which on the ex-day shall be determined at the most recent transaction price on the preceding trading day, less the value of the dividend that is calculated according to the abovementioned principles. The net total return indexes (NI) are calculated by reinvesting the dividend after deduction of withholding tax.

## **5.3 DAILY VS. PERIODIC REVIEW OF INDEX SHARES**

Indexes reviewing Index Shares on a daily basis include all shares outstanding or thereof implied number of shares in the event of corporate actions.

Indexes reviewing Index Shares periodically, the new number of shares is determined in accordance with the terms of corporate action.

## **5.4 NEW SHARE ISSUE WITH RIGHTS FOR EXISTING SHAREHOLDERS (RIGHTS ISSUE)**

New share issue is an offer by the company to existing shareholders to take part in a new issue of shares. The new shares are offered in proportion to each shareholder's existing holding, generally at a reduced price. The issue of shares at below-market-price results in dilution of the head shares. In a rights issue both the number of shares outstanding and market capitalization of the company increases.

Effective from the ex-day, the number of shares and price of the Index Share are adjusted to reflect its true performance.

In a normal rights issue the free float factor is unaffected. If the rights issue is a repair issue, i.e. a private placement followed by a rights issue to the remaining shareholders, the free float factor may be altered.

It is assumed that the rights issue is fully subscribed. For indexes reviewing number of shares on a daily basis and in the case of rights issue not fully subscribed, the number of shares included in the index will be adjusted to reflect the actual number of shares subscribed. For indexes where the numbers of shares are reviewed periodically and in the case of rights issue not fully subscribed, the number of shares included in the index may be adjusted to reflect the actual number of shares subscribed. If the new shares deviate significantly from the Index Share, the method described in section 5.7.1 below shall be applied.

As legislation in Denmark allows for issues with deviating dividends, the following only applies to issues on NASDAQ Copenhagen. For this type of issues, theoretical prices for the use in the index are calculated for the new temporary security taking into account the actual difference in dividend payments on 'old' and 'new' shares. The calculation is made on the basis of the price for the permanent share (after the issue) after deduction of the dividend difference. Calculations of the theoretical prices are based on the most recent dividend paid.

$$p_{i,new} = p_{i,old} - (\text{dividend}_{old} - \text{dividend}_{new})$$

Temporary shares with different dividends are included separately in the index calculation because, by definition, the price for the share will differ from the price for the permanent share.

When the temporary share is later merged into the permanent share, an adjustment is made the day after the general meeting, which ensures that the transfer to the permanent share does not affect the index value.

#### **5.4.1 CONDITIONAL EX-DAY**

If a share trades exclusive the right to participate in a rights issue prior to the General Meetings approval of the rights issues in question, NASDAQ may exclude the share until such approval is submitted. Each case should be reviewed individually.

## **5.5 BONUS ISSUE**

A bonus issue or stock dividend is an issue of shares free of charge to existing shareholders, the shares being brought into existence by the capitalization of the company's reserves. The new shares are issued in proportion to each shareholder's existing holding. The issue of bonus shares is merely an accounting transaction and results in no flow of capital into or out of the company. The number of shares increases but the market capitalization of the company remains unchanged. If the new shares deviate significantly from the Index Share, the method described in section 5.7.1 below shall be applied.

NASDAQ will increase the number of shares of the Index Share, by the number of additional shares, on the day that the Index Share is first available for trading on the ex-day.

#### **5.5.1 CONDITIONAL EX-DAY**

If a share trades exclusive the right to participate in a bonus issue prior to the General Assembly's approval of the bonus issues in question, NASDAQ may exclude the share until such approval is submitted. Each case should be reviewed individually.

## 5.6 SPLIT / REVERSE SPLIT AND CHANGE IN FACE VALUE

A stock split or reverse split is the action of a company in splitting or recouping its shares, reducing or increasing, respectively, par value in proportion and so increases or decreases, respectively, the number of shares. The economic effect of a stock split or reverse stock split is equivalent to bonus issue, as there is no flow of capital into or out of the company. The number of shares increases but the market capitalization of the company remains unchanged. If the new shares deviate significantly from the Index Share, the method described in section 5.7.1 below shall be applied.

A reduction of share capital removes part of the nominal share capital of an Index Share without affecting the market value. If the reduction takes place by maintaining the number of shares but reducing the face value of the shares (change in denomination) the price will not be affected and only the face value will be changed.

NASDAQ will increase the number of shares of the Index Share, by the number of additional shares, on the ex-day.

## 5.7 MARKET PRICE ISSUE

Market price issues where existing shareholders have waived their pre-emptive right to subscribe in the issue are usually offered to the public, directly to a person or an organisation. As the issue is not reserved for present shareholders it is assumed that they are somehow compensated for, if any, dilution. The issue of new shares results in flow of capital into the company, the number of shares increases and the market capitalization of the company changes. Other issues like private placements and employee share issues, assimilation and division of shares, conversion of convertible debts and exercise of warrants or similar securities are also treated as a market price issue.

Effective on the ex-day, the number of shares of the Index Share is adjusted in indexes that review the number of shares on a daily basis in order to reflect the new market capitalization of the company. For indexes reviewing the number of shares on a periodically basis, new shares from the issue are not included before implementation of the new index composition at the next periodic review. If the new shares deviate significantly from the Index Share, the method described in section 5.7.1 below shall be applied.

As legislation in Denmark allows for issues with deviating dividends the following only applies to issues on the NASDAQ Copenhagen. For this type of issues, theoretical prices for the use in the index are calculated for the new temporary security taking into account the actual difference in dividend payments on 'old' and 'new' shares. The calculation is made on the basis of the price for the permanent share (after the issue) after deduction of the dividend difference. Calculations of the theoretical prices are based on the most recent dividend paid.

Temporary securities with deviating dividends are included separately in the index calculation because, by definition, the price for the security will differ from the price for the permanent share.

When the temporary security is later merged into the permanent share, an adjustment is made the day after the general meeting, which ensures that the transfer to the permanent share does not affect the index value.

## 5.8 SPIN-OFF AND ADJUSTMENTS FOR ISSUES

A spin-off is the action of a parent company distributing out among its shareholders the controlling shares that hold in a subsidiary company or issuing of shares in a new company based on a part of its current business. The spin-off results in outflow of capital in form of capital transfer from the parent company to the new company. In a spin-off the number of shares outstanding remains unchanged. However, the price of the Index Share will be affected.

### 5.8.1 BASKET METHOD, VALUATION METHOD, FIXED PRICE METHOD AND EXCLUSION FROM THE INDEX

When a company, the shares of which constitute an Index Share, other than as set out in section 5.6.1 above, carries out any of the following measures and where the shareholders have preferential rights of participation, the Basket Method, Valuation Method or the Fixed Price Method, as determined by NASDAQ, shall be used. The Basket Method shall primarily be used, followed by, the Valuation Method and the Fixed Price Method. NASDAQ shall in due time notify which method that shall be used.

The following measures may make the basis of adjustments in accordance with this section:

- + issue of convertible debentures, debenture certificates carrying subscription warrants or similar securities;
- + offer to acquire securities or rights of any type or receive such securities or rights without consideration; and
- + when a new issue is carried out, which involves the issue of a new type of security having rights that, in NASDAQ's opinion, deviate significantly from the rights carried by the Index Share.

#### 5.8.1.1 Basket Method

The Basket Method may be used where the securities are considered eligible for index inclusion and registered on, or listed on an exchange or market place approved by the Exchange prior to or on the ex-day. The shares will be included in the index as an extra Index Share on the ex-day and no adjustment will be made to the price of the distributing share. The start price of the extra Index Share will be set to zero (0). The extra Index Share will be calculated in the Index at LSP and will subsequently be removed from the Index at the end of the ex-day at that day's LSP.

If the securities are to become registered with, or listed on, an exchange or market place approved by NASDAQ in the near future after the distribution, they will be included as an extra Index Share on the ex-day. The start value of the extra Index Share will be determined by the difference between the LSP and the first traded price on the ex-day (t) of the distributing share. That value will then be fixed until the first trading day of the extra Index Share. On its first trading day, the extra Index Share will start at the fixed price determined at (t) and then be included at LSP and be removed from the Index at the end of the day at that day's LSP.

The rights connected to the issued security must be in conjunction with section 3.1 above.



### 5.8.1.2 Valuation Method

Where valuation of the right can be effected, the opening exchange price of the Index Share  $P_{i,t-1}$  on the ex-day shall be determined at the LSP less the calculated value of the right. Thereafter the regular index calculation shall take place employing the most recent transaction prices.

The Valuation Method may be used where the rights or when-issued futures are listed on an exchange or market place approved by the Exchange. NASDAQ has also the possibility of using an OTC share price. These prices will only be used if they are representative taking into consideration the volume of trading in the rights or when-issued futures. In such cases the valuation shall be based on the LSP of the rights or the when-issued futures on the day prior to the ex-day.

The calculated value of the right can also be based on the median value of valuations from members of the NASDAQ Nordic Exchanges, however, on the condition that NASDAQ, not later than the trading day prior to the ex-day, receive no less than five valuations.

If a satisfactory estimate of the right can be obtained, the Valuation Method shall primarily be used. Secondly NASDAQ may use the Fixed price method.

### 5.8.1.3 Fixed price method

If no satisfactory estimate of the right has been obtained, effective on the ex-day only, the index is calculated using LSP from the previous trading day. This price is used in the calculation of the index up to and including that day the first LSP is registered excluding subscription rights (or corresponding rights). The following day, a new base value is calculated on the basis of the previous day's LSP.

### 5.8.1.4 Exclusion from the index

If no satisfactory estimate of the right has been obtained, effective on the ex-day only, the Index Share is excluded from the index. However, if no price has been obtained on the ex-day, the Index Share will remain excluded from the index until the day after the first price observation. The adjustment results in the excluded Index Share having the same performance as the weighted average performance of the other Index Shares as a proxy for its true performance.

## 5.9 MERGER / TAKE-OVER

A merger or take-over is to combine the ownership of two companies into a new company or that one company obtains the ownership of the other.

The adjustments below will be effective on the day the acquiring company controls at least 90% of the outstanding shares in the acquired company. The term 'control' is here defined as when either,

- a) the acquiring company notifies the Exchanges of their control or receiving accepts of at least 90 %,
- b) the transaction is registered in the Exchange's trading system; or
- c) the shares are registered with the NASDAQ OMX CSDs (VPS, VPC, APK and VP).

In indexes reviewing the number of shares on daily basis:



- + If the acquiring company and the acquired company are listed on one of the Exchanges, the acquired company is deleted from the index when the company is de-listed from the Exchange or when shares issued to the shareholders in the acquired company is subject for trading in the acquiring company.
- + If the acquiring company is not listed, the acquired company is deleted when 90 % acceptance is reached.

In indexes reviewing the number of shares on periodically basis:

In case such a replacement shall take place, the number of shares to be included in the Benchmark Index Calculation is determined in accordance with the terms of the offer or merger. Any conditions in the offer are not considered if the acquiring company gains control.

- + If the acquiring company and the acquired company are Index Shares and the acquisition is settled entirely or partly in eligible shares, the market capitalization of the acquiring company is increased in accordance with the terms of the offer or merger. The acquired company is deleted from the index.
- + If the acquiring company is not an Index Share and the acquisition is settled entirely or partly in eligible shares, a part of the market capitalization of the acquiring company is included in the index in accordance with the terms of the offer or merger. In case the new Index Share is listed on the Exchange before the last listing day for the existing Index Share, the replacement shall take place with effect from the second listing day regarding the new Index Share on the Exchange. In cases where the Index Share is de-listed from the Exchange before the first listing day for the new Index Share, the Index Share shall be deleted effective from the day following the last trading day of the Index Share. The new Index Share shall be included from the second listing day.
- + If the acquiring company is not listed on the Exchanges or otherwise deemed unsuitable for inclusion, the acquired company is deleted from the index without including a new share.
- + If the acquiring company is an Index Share and the acquired company is not, the market capitalization of the acquiring company is not increased until the next semi-annually review.

However, if a breach in the conditions of the offer results in reversing the adjustment, the reversing is treated as spin-off in section 5.7 above.

### **5.9.1 TAKE-OVER: ADJUSTMENT OF FREE FLOAT**

For the Benchmark Index to maintain its investability after a substantial decrease in the shares available for trading the free float may be adjusted in the following case. When the terms and conditions of the take-over offer, including the minimum control of the acquired company, are fulfilled the free float can be adjusted. The adjustment will take effect two trading days after the acquiring company has announced the result of the offer. If the acquiring company obtains over 90% control of the acquired company, section 5.8. will be applied.

## **5.10 REDEMPTION OF SHARES / CANCELLATION OF OWN SHARES**

Where a company whose shares constitute Index Shares resolves upon the redemption of shares and cancellation of own shares, the number of shares shall be adjusted in daily reviewed

indexes once the cancellation has been registered with NASDAQ OMX according to section 4.4 above. Adjustment shall take place through a reduction in the number of shares included in the Index Share.

In indexes reviewing number of shares periodically the adjustment is only made if the Index Share resolves upon the redemption and cancellation of shares and if the shareholders have preferential rights to participation, the number of shares shall be adjusted normally on the trading day following the day on which the Exchange has received the conclusive announcement. Adjustment shall take place through a reduction in the number of shares included in the Index Share.

If the capital reduction is followed by a distribution of cash and/or rights, the corporate action is treated according to section 5.7 above.

For NASDAQ Copenhagen, the reduction is subject to the approval of the Danish Commerce and Companies Agency, which means that it will take effect the day after the day the reduction takes place.

## **5.11 FAST ENTRY**

A new share class listed on NASDAQ Nordic Exchanges, is generally not included in the index immediately, in indexes where the number of share is reviewed periodically. However if a newly listed share class is considered by NASDAQ to result in a serious deterioration in the Benchmark Index' ability to mimic the population of shares representing the market, or if it is significant probability for the company to constitute the Benchmark Index at next rebalancing date, fast entry may be considered. No other shares are excluded resulting from addition of a new share. For the All-Share and Industry/Sector Indexes a new share class is immediately included in the index.

## **5.12 FAST EXIT**

If an Index Share is delisted from NASDAQ Nordic Exchanges, an eligible replacement share is generally not included in the index immediately. This principle of fast exit is used in all indexes. The exclusion of an Index Share will usually be carried out on its last trading day unless the share is deemed unsuitable for index purposes earlier.

Shares will be removed at zero value, if considered to be in liquidation (and therefore of no value), or at the suspension price for all other circumstances. The NASDAQ Index Committee will at their next regular meeting consider deleted shares, whose quotations are subsequently restored, for re-inclusion. Any reinclusion will be at the deleted price.

Should a deleted share that has been suspended at a price other than zero (which would normally be the suspension price) be subsequently delisted and deemed to be of zero value, this share will be included for one day's calculation in the index from which it was removed to enable the fall in the share's value to be reflected in the index value. In this situation, the share will be re-included at the suspension price, and its price will be zero throughout that day's calculation, including the LSP.

### 5.13 OTHER ADJUSTMENTS

NASDAQ may, with respect to adjustments, make an adjustment in circumstances other than those specified above or decide to modify a provision regarding adjustment or not to implement an adjustment.

## 6 GENERAL ANNOUNCEMENT POLICY

NASDAQ announces Index-related information via our premier service Global Index Watch at <http://indexes.nasdaq.com>.

Deadlines for announcements:

- + **Rule amendments:** Material changes to the rules are normally announced 3 months before they are put into effect.
- + **Periodic index composition:** A notice is normally announced no less than one (1) week prior to implementation. The Exchange reserve the right to alter the announced composition in case of a merger, take-over or other major events that is expected to deteriorate the index' ability to mimic the population of stocks representing the market.
- + **Daily maintenance:** Adjustments of the "Benchmark index" and "Tradeable index" due to corporate actions and dividends are announced as soon as possible after the Exchanges have received all information needed to accomplish the adjustments and no later than the day prior to the event taking effect. Adjustments of other Indexes are announced no later than the day prior to the event taking effect.

In the event that an Index calculation has been corrected historically, an announcement will be provided and clients are asked to update their databases accordingly.

## 7 GENERAL CORRECTION POLICY

Generally, the indexes are maintained on an ongoing basis and any corporate actions are handled simultaneously as they occur, given that all necessary information is publically available. However, there may be events where there is not sufficient public information available or that the event is announced post ex-day. In such cases, the Exchange will decide on a case by case basis whether the event can be implemented in connection to the regularly scheduled index reviews or if a historical correction of the affected indexes is warranted.

Any historical correction to an index is limited to a time frame of six (6) months prior, counting from the date where the correction is being implemented.

## 8 DISCRETIONARY ADJUSTMENTS

The Exchange may, due to special circumstances, if deemed essential, apply discretionary adjustments to the index.

## 9 ALTERNATIVE INFORMATION RETRIEVAL

If, in the opinion of the NASDAQ, technical or other reasons, render the automatic transmission of price information or other information regarding an Index Share or currency fix from the NASDAQ Exchanges, or other information system approved by NASDAQ, either wholly or partially unavailable, or if such price information is unreliable or in any other way fails to reflect the development of market prices in the currency type or Index Shares, NASDAQ may utilize another source of information and thereby apply other bases for the calculation of the Index than those which otherwise are stated in these rules.

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## Appendix A – Industry Classification

### 1.1 Classification Structure

Industry Classification Benchmark (ICB) is a detailed and comprehensive structure for sector and industry analysis, facilitating the comparison of companies across four levels of classification and national boundaries. The classification system allocates companies to the Subsector whose definition closely describes the nature of its business as determined from the source of its revenue or the source of the majority of its revenue, as identified in the company's audited accounts and director's report.

#### ICB Breakdown

114 Subsectors
19 Supersectors
10 Industries

#### 1.1.2 Classification Guidelines

##### Allocation of Companies to Subsectors

A company will be allocated to that Subsector of the ICB whose definition most closely coincides with the source of its revenue or the source of the majority of its revenue.

Segmental reporting normally discloses revenue before tax, minority interests, extraordinary items and interest earned or incurred. Unless there is audited evidence that any of these items is specifically attributable to a class of business for which revenue is disclosed, it will be disregarded in assessing the sources of revenue. Where any part of the company's business is to earn interest or incur interest, or interest expenses are central to the business, then such interest shall be taken into account in determining the company's business.

A company which has businesses in two or more Subsectors is allocated to that Subsector which provides the largest part of revenue as indicated by the latest available report and accounts. A company engaged in three or more Subsectors, but within a specific industry, will normally be classified as General Mining, Diversified Industrials, Multi-utilities or Specialty Finance.

A company engaged in businesses in three or more Subsectors that are in two or more Industries, will normally be classified as a Diversified Industrials.

A company engaged in three or more classes of business within the Industrials Industry that differ substantially from each other, no one of which contributes 50% or more of revenue nor less than 10%, will normally be classified as Diversified Industrials. If there is a contribution of over 50% from any other one Industry group, the subsector of that Industry group with the highest revenue will be assigned to the company.

ICB will seek to maintain stability in the classification of companies by disregarding what they consider to be temporary fluctuations in the fortunes of individual businesses.

### **1.1.3 Industry Sectors**

ICB will normally seek to avoid the maintenance of Sectors or Subsectors containing a single company.

### **1.1.4 Changes to the Industry Classification Benchmark**

Changes to the structure of the ICB will be evolutionary. Any changes to the ICB structure (Subsectors, Sectors, Super Sectors, or Industries) shall take place with a minimum of six months' notice. These changes will be determined by ICB and will be based on long-term trends, rather than what they consider may be temporary fluctuations within individual industries.

## **1.2 Periodic Reviews**

All classifications of the companies will be reviewed on an annual basis.

- + Coverage – All companies in the ICB global will be reviewed when an annual report of the company is published.
- + Cut-Off Date – Last trading day of January, April, July and October.
- + Review Procedures – The industrial classification of each company will be determined by analyzing the financial reports based on the rules under 1.1.3.
- + Announcement and Implementation – Periodic changes to the industry classification of a company will be announced on the first trading day in March, June, September and December and will be implemented on the third Friday of March, June, September and December and become effective on the next trading day after implementation.
- + In exceptional cases FTSE in consultation with the ICB Advisory Committee can classify companies on the basis of either the immediate end use of the product or the industrial process used.

## **1.3 Classification Changes**

Where a significant change takes place in a company's structure as a result of a corporate event (such as a merger or demerger), its ICB classification may be reassessed on the basis of financial data formally published by the company. No changes in ICB classification will be made on the basis of non-public information, promises of action, assertions or aspiration of directors, unless these can be substantiated by audited or independently authenticated formal company statements as described in section 1.1.1.

Any adjustment resulting from a change in a company's classification will be implemented at the same time as the corporate event is completed.

A company's classification may also be reviewed by ICB either at the discretion or at the request of the company or professional advisors acting on its behalf.

Any adjustment resulting from a change in a company's classification will be announced immediately and be implemented five trading days later and become effective on the next trading day after implementation.

Please note the above Industry Classification information has been re-printed directly from FTSE and ICB materials. For more information about the Industry Classification Benchmark (ICB), visit [www.ftse.com/icb](http://www.ftse.com/icb).



## Appendix B– Local Equity Indexes

Index Symbol	Index Name	ISIN	Calculation Method	Linked to PR Index	Linked GTR Index	No adjustment for Extraord. Dividends
CX0001GI	OMX Copenhagen Oil & Gas GI	DK0060369882	GTR			
CX0001PI	OMX Copenhagen Oil & Gas PI	DK0060380269	PR			X
CX0500GI	OMX Copenhagen Oil & Gas GI	DK0060369965	GTR			
CX0500PI	OMX Copenhagen Oil & Gas PI	DK0060380343	PR			X
CX0530GI	OMX Copenhagen Oil & Gas Producers GI	DK0060370039	GTR			
CX0530PI	OMX Copenhagen Oil & Gas Producers PI	DK0060380426	PR			X
CX0570GI	OMX Copenhagen Oil Eqpt, Svcs & Distr GI	DK0060370112	GTR			
CX0570PI	OMX Copenhagen Oil Eqpt, Svcs & Distr PI	DK0060380509	PR			X
CX0580GI	OMX Copenhagen Alternative Energy GI	DK0060370385	GTR			
CX0580PI	OMX Copenhagen Alternative Energy PI	DK0060380699	PR			X
CX1000GI	OMX Copenhagen Basic Materials GI	DK0060370468	GTR			
CX1000PI	OMX Copenhagen Basic Materials PI	DK0060380772	PR			X
CX1300GI	OMX Copenhagen Chemicals GI	DK0060370542	GTR			
CX1300PI	OMX Copenhagen Chemicals PI	DK0060380855	PR			X
CX1350GI	OMX Copenhagen Chemicals GI	DK0060370625	GTR			
CX1350PI	OMX Copenhagen Chemicals PI	DK0060380939	PR			X
CX1700GI	OMX Copenhagen Basic Resources GI	DK0060371003	GTR			
CX1700PI	OMX Copenhagen Basic Resources PI	DK0060381077	PR			X
CX1730GI	OMX Copenhagen Forestry & Paper GI	DK0060371193	GTR			
CX1730PI	OMX Copenhagen Forestry & Paper PI	DK0060381150	PR			X
CX1750GI	OMX Copenhagen Industrial Metals & Mining GI	DK0060371276	GTR			
CX1750PI	OMX Copenhagen Industrial Metals & Mining PI	DK0060381234	PR			X
CX1770GI	OMX Copenhagen Mining GI	DK0060371359	GTR			
CX1770PI	OMX Copenhagen Mining PI	DK0060381317	PR			X
CX2000GI	OMX Copenhagen Industrials GI	DK0060371433	GTR			
CX2000PI	OMX Copenhagen Industrials PI	DK0060381580	PR			X
CX2300GI	OMX Copenhagen Construction & Materials GI	DK0060371516	GTR			
CX2300PI	OMX Copenhagen Construction & Materials PI	DK0060381663	PR			X
CX2350GI	OMX Copenhagen Construction & Materials GI	DK0060371789	GTR			
CX2350PI	OMX Copenhagen Construction & Materials PI	DK0060381747	PR			X

CX2700GI	OMX Copenhagen Industrial Goods & Services GI	DK0060371862	GTR			
CX2700PI	OMX Copenhagen Industrial Goods & Services PI	DK0060381820	PR			X
CX2710GI	OMX Copenhagen Aerospace & Defense GI	DK0060371946	GTR			
CX2710PI	OMX Copenhagen Aerospace & Defense PI	DK0060381903	PR			X
CX2720GI	OMX Copenhagen General Industrials GI	DK0060372084	GTR			
CX2720PI	OMX Copenhagen General Industrials PI	DK0060382042	PR			X
CX2730GI	OMX Copenhagen Elec & Elctrcl Eqpt GI	DK0060372167	GTR			
CX2730PI	OMX Copenhagen Elec & Elctrcl Eqpt PI	DK0060382125	PR			X
CX2750GI	OMX Copenhagen Industrial Engineering GI	DK0060372241	GTR			
CX2750PI	OMX Copenhagen Industrial Engineering PI	DK0060382208	PR			X
CX2770GI	OMX Copenhagen Industrial Transportation GI	DK0060372324	GTR			
CX2770PI	OMX Copenhagen Industrial Transportation PI	DK0060382398	PR			X
CX2790GI	OMX Copenhagen Support Services GI	DK0060372407	GTR			
CX2790PI	OMX Copenhagen Support Services PI	DK0060382471	PR			X
CX3000GI	OMX Copenhagen Consumer Goods GI	DK0060372597	GTR			
CX3000PI	OMX Copenhagen Consumer Goods PI	DK0060382554	PR			X
CX3300GI	OMX Copenhagen Automobiles & Parts GI	DK0060372670	GTR			
CX3300PI	OMX Copenhagen Automobiles & Parts PI	DK0060382638	PR			X
CX3350GI	OMX Copenhagen Automobiles & Parts GI	DK0060372753	GTR			
CX3350PI	OMX Copenhagen Automobiles & Parts PI	DK0060382711	PR			X
CX3500GI	OMX Copenhagen Food & Beverage GI	DK0060372910	GTR			
CX3500PI	OMX Copenhagen Food & Beverage PI	DK0060383792	PR			X
CX3530GI	OMX Copenhagen Beverages GI	DK0060373058	GTR			
CX3530PI	OMX Copenhagen Beverages PI	DK0060383875	PR			X
CX3570GI	OMX Copenhagen Food Producers GI	DK0060373132	GTR			
CX3570PI	OMX Copenhagen Food Producers PI	DK0060383958	PR			X
CX3700GI	OMX Copenhagen Personal & Household Goods GI	DK0060373215	GTR			
CX3700PI	OMX Copenhagen Personal & Household Goods PI	DK0060384097	PR			X
CX3720GI	OMX Copenhagen Hhld Goods & Hme Constr GI	DK0060373488	GTR			

CX3720PI	OMX Copenhagen Hhld Goods & Hme Constr PI	DK0060384170	PR			X
CX3740GI	OMX Copenhagen Leisure Goods GI	DK0060373561	GTR			
CX3740PI	OMX Copenhagen Leisure Goods PI	DK0060384253	PR			X
CX3760GI	OMX Copenhagen Personal Goods GI	DK0060373645	GTR			
CX3760PI	OMX Copenhagen Personal Goods PI	DK0060383602	PR			X
CX3780GI	OMX Copenhagen Tobacco GI	DK0060373728	GTR			
CX3780PI	OMX Copenhagen Tobacco PI	DK0060384337	PR			X
CX4000GI	OMX Copenhagen Health Care GI	DK0060373801	GTR			
CX4000PI	OMX Copenhagen Health Care PI	DK0060384410	PR			X
CX4500GI	OMX Copenhagen Health Care GI	DK0060373991	GTR			
CX4500PI	OMX Copenhagen Health Care PI	DK0060384683	PR			X
CX4530GI	OMX Copenhagen Health Care Equipment & Services GI	DK0060374023	GTR			
CX4530PI	OMX Copenhagen Health Care Equipment & Services PI	DK0060384766	PR			X
CX4570GI	OMX Copenhagen Pharmaceuticals & Biotechnology GI	DK0060374106	GTR			
CX4570PI	OMX Copenhagen Pharmaceuticals & Biotechnology PI	DK0060384840	PR			X
CX5000GI	OMX Copenhagen Consumer Services GI	DK0060374296	GTR			
CX5000PI	OMX Copenhagen Consumer Services PI	DK0060384923	PR			X
CX5300GI	OMX Copenhagen Retail GI	DK0060374379	GTR			
CX5300PI	OMX Copenhagen Retail PI	DK0060385060	PR			X
CX5330GI	OMX Copenhagen Food & Drug Retailers GI	DK0060374452	GTR			
CX5330PI	OMX Copenhagen Food & Drug Retailers PI	DK0060385144	PR			X
CX5370GI	OMX Copenhagen General Retailers GI	DK0060374536	GTR			
CX5370PI	OMX Copenhagen General Retailers PI	DK0060385227	PR			X
CX5500GI	OMX Copenhagen Media GI	DK0060374619	GTR			
CX5500PI	OMX Copenhagen Media PI	DK0060385300	PR			X
CX5550GI	OMX Copenhagen Media GI	DK0060374882	GTR			
CX5550PI	OMX Copenhagen Media PI	DK0060385490	PR			X
CX5700GI	OMX Copenhagen Travel & Leisure GI	DK0060374965	GTR			
CX5700PI	OMX Copenhagen Travel & Leisure PI	DK0060385573	PR			X
CX5750GI	OMX Copenhagen Travel & Leisure GI	DK0060375186	GTR			
CX5750PI	OMX Copenhagen Travel & Leisure PI	DK0060385656	PR			X
CX6000GI	OMX Copenhagen Telecommunications GI	DK0060375269	GTR			
CX6000PI	OMX Copenhagen Telecommunications PI	DK0060385730	PR			X

CX6500GI	OMX Copenhagen Telecommunications GI	DK0060375343	GTR			
CX6500PI	OMX Copenhagen Telecommunications PI	DK0060385813	PR			X
CX6530GI	OMX Copenhagen Fixed Line Telecommunications GI	DK0060375426	GTR			
CX6530PI	OMX Copenhagen Fixed Line Telecommunications PI	DK0060386035	PR			X
CX6570GI	OMX Copenhagen Mobile Telecommunications GI	DK0060375509	GTR			
CX6570PI	OMX Copenhagen Mobile Telecommunications PI	DK0060386118	PR			X
CX7000GI	OMX Copenhagen Utilities GI	DK0060375699	GTR			
CX7000PI	OMX Copenhagen Utilities PI	DK0060386381	PR			X
CX7500GI	OMX Copenhagen Utilities GI	DK0060375772	GTR			
CX7500PI	OMX Copenhagen Utilities PI	DK0060386464	PR			X
CX7530GI	OMX Copenhagen Electricity GI	DK0060375855	GTR			
CX7530PI	OMX Copenhagen Electricity PI	DK0060386548	PR			X
CX7570GI	OMX Copenhagen Gas, Water & Multi-utilities GI	DK0060375939	GTR			
CX7570PI	OMX Copenhagen Gas, Water & Multi-utilities PI	DK0060386621	PR			X
CX8000GI	OMX Copenhagen Financials GI	DK0060376077	GTR			
CX8000PI	OMX Copenhagen Financials PI	DK0060386704	PR			X
CX8300GI	OMX Copenhagen Banks GI	DK0060376150	GTR			
CX8300PI	OMX Copenhagen Banks PI	DK0060386894	PR			X
CX8350GI	OMX Copenhagen Banks GI	DK0060376234	GTR			
CX8350PI	OMX Copenhagen Banks PI	DK0060386977	PR			X
CX8500GI	OMX Copenhagen Insurance GI	DK0060376317	GTR			
CX8500PI	OMX Copenhagen Insurance PI	DK0060387009	PR			X
CX8530GI	OMX Copenhagen Nonlife Insurance GI	DK0060378602	GTR			
CX8530PI	OMX Copenhagen Nonlife Insurance PI	DK0060387199	PR			X
CX8570GI	OMX Copenhagen Life Insurance GI	DK0060378875	GTR			
CX8570PI	OMX Copenhagen Life Insurance PI	DK0060387272	PR			X
CX8600GI	OMX Copenhagen Real Estate GI	DK0060378958	GTR			
CX8600PI	OMX Copenhagen Real Estate PI	DK0060387439	PR			X
CX8630GI	OMX Copenhagen Real Estate Inv & Svcs GI	DK0060379097	GTR			
CX8630PI	OMX Copenhagen Real Estate Inv & Svcs PI	DK0060387512	PR			X
CX8670GI	OMX Copenhagen Real Estate Investment Trusts GI	DK0060379170	GTR			
CX8670PI	OMX Copenhagen Real Estate Investment Trusts PI	DK0060387785	PR			X
CX8700GI	OMX Copenhagen Financial Services GI	DK0060379253	GTR			
CX8700PI	OMX Copenhagen Financial Services PI	DK0060387868	PR			X
CX8770GI	OMX Copenhagen Financial Services GI	DK0060379337	GTR			
CX8770PI	OMX Copenhagen Financial Services PI	DK0060387942	PR			X

CX9000GI	OMX Copenhagen Technology GI	DK0060379683	GTR			
CX9000PI	OMX Copenhagen Technology PI	DK0060388080	PR			X
CX9500GI	OMX Copenhagen Technology GI	DK0060379766	GTR			
CX9500PI	OMX Copenhagen Technology PI	DK0060388163	PR			X
CX9530GI	OMX Copenhagen Software & Computer Services GI	DK0060379840	GTR			
CX9530PI	OMX Copenhagen Software & Computer Services PI	DK0060388247	PR			X
CX9570GI	OMX Copenhagen Technology Hardware & Equipment GI	DK0060380186	GTR			
CX9570PI	OMX Copenhagen Technology Hardware & Equipment PI	DK0060388320	PR			X
FIRSTNORTHDKK	First North All-Share DKK	SE0001718719	PR			X
FIRSTNORTHEUR	First North All-Share EUR	SE0001718727	PR			X
FIRSTNORTHISK	First North All-Share ISK	SE0001910944	PR			X
FIRSTNORTHNOK	First North All-Share NOK	SE0001718735	PR			X
FIRSTNORTHSEK	First North All-Share SEK	SE0001718701	PR			X
FN0001GI	First North Oil & Gas GI	SE0004385151	GTR			
FN0001PI	First North Oil & Gas PI	SE0004385292	PR			X
FN1000GI	First North Basic Materials GI	SE0004385177	GTR			
FN1000PI	First North Basic Materials PI	SE0004385300	PR			X
FN2000GI	First North Industrials GI	SE0004385185	GTR			
FN2000PI	First North Industrials PI	SE0004385359	PR			X
FN3000GI	First North Consumer Goods GI	SE0004385193	GTR			
FN3000PI	First North Consumer Goods PI	SE0004385391	PR			X
FN4000GI	First North Health Care GI	SE0004385201	GTR			
FN4000PI	First North Health Care PI	SE0004385409	PR			X
FN5000GI	First North Consumer Services GI	SE0004385219	GTR			
FN5000PI	First North Consumer Services PI	SE0004385425	PR			X
FN6000GI	First North Telecommunications GI	SE0004385235	GTR			
FN6000PI	First North Telecommunications PI	SE0004385433	PR			X
FN7000GI	First North Utilities GI	SE0004385243	GTR			
FN7000PI	First North Utilities PI	SE0004385441	PR			X
FN8000GI	First North Financials GI	SE0004385250	GTR			
FN8000PI	First North Financials PI	SE0004385466	PR			X
FN9000GI	First North Technology GI	SE0004385276	GTR			
FN9000PI	First North Technology PI	SE0004385482	PR			X
HX0001GI	OMX Helsinki Oil & Gas GI	FI4000033295	GTR			
HX0001PI	OMX Helsinki Oil & Gas PI	FI4000034020	PR			X
HX0500GI	OMX Helsinki Oil & Gas GI	FI4000033303	GTR			
HX0500PI	OMX Helsinki Oil & Gas PI	FI4000034038	PR			X
HX0530GI	OMX Helsinki Oil & Gas Producers GI	FI4000033311	GTR			
HX0530PI	OMX Helsinki Oil & Gas Producers PI	FI4000034046	PR			X
HX0570GI	OMX Helsinki Oil Eqpt, Svcs & Distr GI	FI4000033329	GTR			
HX0570PI	OMX Helsinki Oil Eqpt, Svcs & Distr PI	FI4000034053	PR			X
HX0580GI	OMX Helsinki Alternative Energy GI	FI4000033337	GTR			
HX0580PI	OMX Helsinki Alternative Energy PI	FI4000034061	PR			X
HX1000GI	OMX Helsinki Basic Materials GI	FI4000033345	GTR			
HX1000PI	OMX Helsinki Basic Materials PI	FI4000034079	PR			X
HX1300GI	OMX Helsinki Chemicals GI	FI4000033378	GTR			

HX1300PI	OMX Helsinki Chemicals PI	FI4000034087	PR			X
HX1350GI	OMX Helsinki Chemicals GI	FI4000033386	GTR			
HX1350PI	OMX Helsinki Chemicals PI	FI4000034095	PR			X
HX1700GI	OMX Helsinki Basic Resources GI	FI4000033394	GTR			
HX1700PI	OMX Helsinki Basic Resources PI	FI4000034103	PR			X
HX1730GI	OMX Helsinki Forestry & Paper GI	FI4000033402	GTR			
HX1730PI	OMX Helsinki Forestry & Paper PI	FI4000034111	PR			X
HX1750GI	OMX Helsinki Industrial Metals & Mining GI	FI4000033410	GTR			
HX1750PI	OMX Helsinki Industrial Metals & Mining PI	FI4000034129	PR			X
HX1770GI	OMX Helsinki Mining GI	FI4000033428	GTR			
HX1770PI	OMX Helsinki Mining PI	FI4000034137	PR			X
HX2000GI	OMX Helsinki Industrials GI	FI4000033436	GTR			
HX2000PI	OMX Helsinki Industrials PI	FI4000034145	PR			X
HX2300GI	OMX Helsinki Construction & Materials GI	FI4000033444	GTR			
HX2300PI	OMX Helsinki Construction & Materials PI	FI4000034152	PR			X
HX2350GI	OMX Helsinki Construction & Materials GI	FI4000033451	GTR			
HX2350PI	OMX Helsinki Construction & Materials PI	FI4000034160	PR			X
HX2700GI	OMX Helsinki Industrial Goods & Services GI	FI4000033469	GTR			
HX2700PI	OMX Helsinki Industrial Goods & Services PI	FI4000034178	PR			X
HX2710GI	OMX Helsinki Aerospace & Defense GI	FI4000033477	GTR			
HX2710PI	OMX Helsinki Aerospace & Defense PI	FI4000034186	PR			X
HX2720GI	OMX Helsinki General Industrials GI	FI4000033485	GTR			
HX2720PI	OMX Helsinki General Industrials PI	FI4000034194	PR			X
HX2730GI	OMX Helsinki Electronic & Electrical Equipment GI	FI4000033493	GTR			
HX2730PI	OMX Helsinki Electronic & Electrical Equipment PI	FI4000034202	PR			X
HX2750GI	OMX Helsinki Industrial Engineering GI	FI4000033501	GTR			
HX2750PI	OMX Helsinki Industrial Engineering PI	FI4000034210	PR			X
HX2770GI	OMX Helsinki Industrial Transportation GI	FI4000033519	GTR			
HX2770PI	OMX Helsinki Industrial Transportation PI	FI4000034228	PR			X
HX2790GI	OMX Helsinki Support Services GI	FI4000033527	GTR			
HX2790PI	OMX Helsinki Support Services PI	FI4000034236	PR			X
HX3000GI	OMX Helsinki Consumer Goods GI	FI4000033535	GTR			
HX3000PI	OMX Helsinki Consumer Goods PI	FI4000034244	PR			X
HX3300GI	OMX Helsinki Automobiles & Parts GI	FI4000033543	GTR			
HX3300PI	OMX Helsinki Automobiles & Parts PI	FI4000034251	PR			X

HX3350GI	OMX Helsinki Automobiles & Parts GI	FI4000033550	GTR			
HX3350PI	OMX Helsinki Automobiles & Parts PI	FI4000034269	PR			X
HX3500GI	OMX Helsinki Food & Beverage GI	FI4000033568	GTR			
HX3500PI	OMX Helsinki Food & Beverage PI	FI4000034277	PR			X
HX3530GI	OMX Helsinki Beverages GI	FI4000033576	GTR			
HX3530PI	OMX Helsinki Beverages PI	FI4000034285	PR			X
HX3570GI	OMX Helsinki Food Producers GI	FI4000033584	GTR			
HX3570PI	OMX Helsinki Food Producers PI	FI4000034293	PR			X
HX3700GI	OMX Helsinki Personal & Household Goods GI	FI4000033592	GTR			
HX3700PI	OMX Helsinki Personal & Household Goods PI	FI4000034301	PR			X
HX3720GI	OMX Helsinki Hhld Goods & Hme Constr GI	FI4000033600	GTR			
HX3720PI	OMX Helsinki Hhld Goods & Hme Constr PI	FI4000034319	PR			X
HX3740GI	OMX Helsinki Leisure Goods GI	FI4000033618	GTR			
HX3740PI	OMX Helsinki Leisure Goods PI	FI4000034327	PR			X
HX3760GI	OMX Helsinki Personal Goods GI	FI4000033626	GTR			
HX3760PI	OMX Helsinki Personal Goods PI	FI4000034335	PR			X
HX3780GI	OMX Helsinki Tobacco GI	FI4000033634	GTR			
HX3780PI	OMX Helsinki Tobacco PI	FI4000034343	PR			X
HX4000GI	OMX Helsinki Health Care GI	FI4000033642	GTR			
HX4000PI	OMX Helsinki Health Care PI	FI4000034350	PR			X
HX4500GI	OMX Helsinki Health Care GI	FI4000033659	GTR			
HX4500PI	OMX Helsinki Health Care PI	FI4000034368	PR			X
HX4530GI	OMX Helsinki Health Care Equipment & Services GI	FI4000033667	GTR			
HX4530PI	OMX Helsinki Health Care Equipment & Services PI	FI4000034376	PR			X
HX4570GI	OMX Helsinki Pharmaceuticals & Biotechnology GI	FI4000033675	GTR			
HX4570PI	OMX Helsinki Pharmaceuticals & Biotechnology PI	FI4000034384	PR			X
HX5000GI	OMX Helsinki Consumer Services GI	FI4000033683	GTR			
HX5000PI	OMX Helsinki Consumer Services PI	FI4000034392	PR			X
HX5300GI	OMX Helsinki Retail GI	FI4000033691	GTR			
HX5300PI	OMX Helsinki Retail PI	FI4000034400	PR			X
HX5330GI	OMX Helsinki Food & Drug Retailers GI	FI4000033709	GTR			
HX5330PI	OMX Helsinki Food & Drug Retailers PI	FI4000034418	PR			X
HX5370GI	OMX Helsinki General Retailers GI	FI4000033717	GTR			
HX5370PI	OMX Helsinki General Retailers PI	FI4000034426	PR			X
HX5500GI	OMX Helsinki Media GI	FI4000033725	GTR			
HX5500PI	OMX Helsinki Media PI	FI4000034434	PR			X
HX5550GI	OMX Helsinki Media GI	FI4000033733	GTR			
HX5550PI	OMX Helsinki Media PI	FI4000034442	PR			X
HX5700GI	OMX Helsinki Travel & Leisure GI	FI4000033741	GTR			
HX5700PI	OMX Helsinki Travel & Leisure PI	FI4000034459	PR			X
HX5750GI	OMX Helsinki Travel & Leisure GI	FI4000033758	GTR			



HX5750PI	OMX Helsinki Travel & Leisure PI	FI4000034467	PR			X
HX6000GI	OMX Helsinki Telecommunications GI	FI4000033766	GTR			
HX6000PI	OMX Helsinki Telecommunications PI	FI4000034475	PR			X
HX6500GI	OMX Helsinki Telecommunications GI	FI4000033774	GTR			
HX6500PI	OMX Helsinki Telecommunications PI	FI4000034483	PR			X
HX6530GI	OMX Helsinki Fixed Line Telecommunications GI	FI4000033782	GTR			
HX6530PI	OMX Helsinki Fixed Line Telecommunications PI	FI4000034491	PR			X
HX6570GI	OMX Helsinki Mobile Telecommunications GI	FI4000033790	GTR			
HX6570PI	OMX Helsinki Mobile Telecommunications PI	FI4000034509	PR			X
HX7000GI	OMX Helsinki Utilities GI	FI4000033808	GTR			
HX7000PI	OMX Helsinki Utilities PI	FI4000034517	PR			X
HX7500GI	OMX Helsinki Utilities GI	FI4000033816	GTR			
HX7500PI	OMX Helsinki Utilities PI	FI4000034525	PR			X
HX7530GI	OMX Helsinki Electricity GI	FI4000033824	GTR			
HX7530PI	OMX Helsinki Electricity PI	FI4000034533	PR			X
HX7570GI	OMX Helsinki Gas, Water & Multi-utilities GI	FI4000033832	GTR			
HX7570PI	OMX Helsinki Gas, Water & Multi-utilities PI	FI4000034541	PR			X
HX8000GI	OMX Helsinki Financials GI	FI4000033840	GTR			
HX8000PI	OMX Helsinki Financials PI	FI4000034558	PR			X
HX8300GI	OMX Helsinki Banks GI	FI4000033857	GTR			
HX8300PI	OMX Helsinki Banks PI	FI4000034566	PR			X
HX8350GI	OMX Helsinki Banks GI	FI4000033865	GTR			
HX8350PI	OMX Helsinki Banks PI	FI4000034574	PR			X
HX8500GI	OMX Helsinki Insurance GI	FI4000033873	GTR			
HX8500PI	OMX Helsinki Insurance PI	FI4000034582	PR			X
HX8530GI	OMX Helsinki Nonlife Insurance GI	FI4000033881	GTR			
HX8530PI	OMX Helsinki Nonlife Insurance PI	FI4000034590	PR			X
HX8570GI	OMX Helsinki Life Insurance GI	FI4000033899	GTR			
HX8570PI	OMX Helsinki Life Insurance PI	FI4000034608	PR			X
HX8600GI	OMX Helsinki Real Estate GI	FI4000033907	GTR			
HX8600PI	OMX Helsinki Real Estate PI	FI4000034616	PR			X
HX8630GI	OMX Helsinki Real Estate Investment & Services GI	FI4000033915	GTR			
HX8630PI	OMX Helsinki Real Estate Investment & Services PI	FI4000034624	PR			X
HX8670GI	OMX Helsinki Real Estate Investment Trusts GI	FI4000033923	GTR			
HX8670PI	OMX Helsinki Real Estate Investment Trusts PI	FI4000034632	PR			X
HX8700GI	OMX Helsinki Financial Services GI	FI4000033931	GTR			
HX8700PI	OMX Helsinki Financial Services PI	FI4000034640	PR			X
HX8770GI	OMX Helsinki Financial Services GI	FI4000033972	GTR			
HX8770PI	OMX Helsinki Financial Services PI	FI4000034657	PR			X
HX9000GI	OMX Helsinki Technology GI	FI4000033980	GTR			



HX9000PI	OMX Helsinki Technology PI	FI4000034665	PR			X
HX9500GI	OMX Helsinki Technology GI	FI4000033998	GTR			
HX9500PI	OMX Helsinki Technology PI	FI4000034673	PR			X
HX9530GI	OMX Helsinki Software & Computer Services GI	FI4000034004	GTR			
HX9530PI	OMX Helsinki Software & Computer Services PI	FI4000034681	PR			X
HX9570GI	OMX Helsinki Technology Hardware & Equipment GI	FI4000034012	GTR			
HX9570PI	OMX Helsinki Technology Hardware & Equipment PI	FI4000034699	PR			X
IX0001GI	OMX Iceland Oil & Gas GI	IS0000020824	GTR			
IX0001PI	OMX Iceland Oil & Gas PI	IS0000020832	PR			X
IX1000GI	OMX Iceland Basic Materials GI	IS0000020840	GTR			
IX1000PI	OMX Iceland Basic Materials PI	IS0000020857	PR			X
IX2000GI	OMX Iceland Industrials GI	IS0000020865	GTR			
IX2000PI	OMX Iceland Industrials PI	IS0000020873	PR			X
IX3000GI	OMX Iceland Consumer Goods GI	IS0000020881	GTR			
IX3000PI	OMX Iceland Consumer Goods PI	IS0000020899	PR			X
IX4000GI	OMX Iceland Health Care GI	IS0000020907	GTR			
IX4000PI	OMX Iceland Health Care PI	IS0000020915	PR			X
IX5000GI	OMX Iceland Consumer Services GI	IS0000020923	GTR			
IX5000PI	OMX Iceland Consumer Services PI	IS0000020931	PR			X
IX6000GI	OMX Iceland Telecommunications GI	IS0000020949	GTR			
IX6000PI	OMX Iceland Telecommunications PI	IS0000020956	PR			X
IX7000GI	OMX Iceland Utilities GI	IS0000020964	GTR			
IX7000PI	OMX Iceland Utilities PI	IS0000020774	PR			X
IX8000GI	OMX Iceland Financials GI	IS0000020782	GTR			
IX8000PI	OMX Iceland Financials PI	IS0000020790	PR			X
IX9000GI	OMX Iceland Technology GI	IS0000020808	GTR			
IX9000PI	OMX Iceland Technology PI	IS0000020816	PR			X
N0001EURGI	N Oil & Gas EUR GI	SE0004384519	GTR			
N0001EURPI	N Oil & Gas EUR PI	SE0004384808	PR			X
N0500EURGI	N Oil & Gas EUR GI	SE0004384527	GTR			
N0500EURPI	N Oil & Gas EUR PI	SE0004384816	PR			X
N1000EURGI	N Basic Materials EUR GI	SE0004384535	GTR			
N1000EURPI	N Basic Materials EUR PI	SE0004384824	PR			X
N1300EURGI	N Chemicals EUR GI	SE0004384543	GTR			
N1300EURPI	N Chemicals EUR PI	SE0004384832	PR			X
N1700EURGI	N Basic Resources EUR GI	SE0004384550	GTR			
N1700EURPI	N Basic Resources EUR PI	SE0004384840	PR			X
N2000EURGI	N Industrials EUR GI	SE0004384568	GTR			
N2000EURPI	N Industrials EUR PI	SE0004384857	PR			X
N2300EURGI	N Construction & Materials EUR GI	SE0004384576	GTR			
N2300EURPI	N Construction & Materials EUR PI	SE0004384865	PR			X
N2700EURGI	N Industrial Goods & Services EUR GI	SE0004384584	GTR			
N2700EURPI	N Industrial Goods & Services EUR PI	SE0004384873	PR			X
N3000EURGI	N Consumer Goods EUR GI	SE0004384592	GTR			
N3000EURPI	N Consumer Goods EUR PI	SE0004384881	PR			X

N3300EURGI	N Automobiles & Parts EUR GI	SE0004384600	GTR			
N3300EURPI	N Automobiles & Parts EUR PI	SE0004384899	PR			X
N3500EURGI	N Food & Beverage EUR GI	SE0004384618	GTR			
N3500EURPI	N Food & Beverage EUR PI	SE0004384907	PR			X
N3700EURGI	N Personal & Household Goods EUR GI	SE0004384626	GTR			
N3700EURPI	N Personal & Household Goods EUR PI	SE0004384915	PR			X
N4000EURGI	N Health Care EUR GI	SE0004384634	GTR			
N4000EURPI	N Health Care EUR PI	SE0004384923	PR			X
N4500EURGI	N Health Care EUR GI	SE0004384642	GTR			
N4500EURPI	N Health Care EUR PI	SE0004384931	PR			X
N5000EURGI	N Consumer Services EUR GI	SE0004384659	GTR			
N5000EURPI	N Consumer Services EUR PI	SE0004384949	PR			X
N5300EURGI	N Retail EUR GI	SE0004384667	GTR			
N5300EURPI	N Retail EUR PI	SE0004384956	PR			X
N5500EURGI	N Media EUR GI	SE0004384675	GTR			
N5500EURPI	N Media EUR PI	SE0004384964	PR			X
N5700EURGI	N Travel & Leisure EUR GI	SE0004384683	GTR			
N5700EURPI	N Travel & Leisure EUR PI	SE0004384972	PR			X
N6000EURGI	N Telecommunications EUR GI	SE0004384691	GTR			
N6000EURPI	N Telecommunications EUR PI	SE0004384998	PR			X
N6500EURGI	N Telecommunications EUR GI	SE0004384709	GTR			
N6500EURPI	N Telecommunications EUR PI	SE0004384980	PR			X
N7000EURGI	N Utilities EUR GI	SE0004384717	GTR			
N7000EURPI	N Utilities EUR PI	SE0004385003	PR			X
N7500EURGI	N Utilities EUR GI	SE0004384725	GTR			
N7500EURPI	N Utilities EUR PI	SE0004385011	PR			X
N8000EURGI	N Financials EUR GI	SE0004384733	GTR			
N8000EURPI	N Financials EUR PI	SE0004385029	PR			X
N8300EURGI	N Banks EUR GI	SE0004384741	GTR			
N8300EURPI	N Banks EUR PI	SE0004385060	PR			X
N8500EURGI	N Insurance EUR GI	SE0004384758	GTR			
N8500EURPI	N Insurance EUR PI	SE0004385078	PR			X
N8600EURGI	N Real Estate EUR GI	SE0004384766	GTR			
N8600EURPI	N Real Estate EUR PI	SE0004385086	PR			X
N8700EURGI	N Financial Services EUR GI	SE0004384774	GTR			
N8700EURPI	N Financial Services EUR PI	SE0004385094	PR			X
N9000EURGI	N Technology EUR GI	SE0004384782	GTR			
N9000EURPI	N Technology EUR PI	SE0004385102	PR			X
N9500EURGI	N Technology EUR GI	SE0004384790	GTR			
N9500EURPI	N Technology EUR PI	SE0004385128	PR			X
NOMXSCSEGI	NASDAQ OMX Small Cap Sweden GI	SE0002896381	GTR			
NOMXSCSENI	NASDAQ OMX Small Cap Sweden NI		NTR			
NOMXSCSEPI	NASDAQ OMX Small Cap Sweden PI	SE0002896373	PR			X
OMXCBCAPGI	OMX Copenhagen Benchmark Cap_GI	DK0060487494	GTR			
OMXCBCAPPI	OMX Copenhagen Benchmark Cap_PI	DK0060487577	PR			X
OMXCBGI	OMX Copenhagen Benchmark_GI	DK0060487650	GTR			
OMXCBPI	OMX Copenhagen Benchmark_PI	DK0060487734	PR			X
OMXCCAPGI	OMX Copenhagen Cap_GI	DK0060487817	GTR			

OMXCCAPPI	OMX Copenhagen Cap_PI	DK0060488039	PR			X
OMXCGI	OMX Copenhagen_GI	DK0060488112	GTR			
OMXCCLCGI	OMX_Copenhagen_Large_Cap_GI	SE0001776667	GTR			
OMXCCLCPI	OMX_Copenhagen_Large_Cap_PI	SE0001776659	PR			X
OMXCMCGI	OMX_Copenhagen_Mid_Cap_GI	SE0001776683	GTR			
OMXCMCPI	OMX_Copenhagen_Mid_Cap_PI	SE0001776675	PR			X
OMXCPI	OMX Copenhagen_PI	DK0060488385	PR			X
OMXCSCGI	OMX_Copenhagen_Small_Cap_GI	SE0001776709	GTR			
OMXCSCPI	OMX_Copenhagen_Small_Cap_PI	SE0001776691	PR			X
OMXETHICALDK	OMX GES Ethical Denmark Index	SE0002209288	GTR			
OMXETHICALFI	OMX GES Ethical Finland Index	SE0002209296	GTR			
OMXETHICALNC	OMX GES Ethical Nordic Index	SE0002209254	GTR			
OMXETHICALNO	OMX GES Ethical Norway Index	SE0002209262	GTR			
OMXETHICALSE	OMX GES Ethical Sweden Index	SE0002209270	GTR			
OMXHBCAPGI	OMX Helsinki Benchmark CAP_GI	FI0008902291	GTR			
OMXHBCAPPI	OMX Helsinki Benchmark CAP_PI	FI0008902309	PR			X
OMXHBCGI	OMX Helsinki Benchmark_GI	FI0008902317	GTR			
OMXHBCPI	OMX Helsinki Benchmark_PI	FI0008902325	PR			X
OMXHCAPGI	OMX Helsinki Cap_GI	FI0008900238	GTR			
OMXHCAPPI	OMX Helsinki Cap_PI	FI0008900014	PR			X
OMXHGI	OMX Helsinki_GI	FI0008900220	GTR			
OMXHLCGI	OMX_Helsinki_Large_Cap_GI	SE0001775727	GTR			
OMXHLCPPI	OMX_Helsinki_Large_Cap_PI	SE0001775719	PR			X
OMXHMCPI	OMX_Helsinki_Mid_Cap_GI	SE0001775743	GTR			
OMXHMCPI	OMX_Helsinki_Mid_Cap_PI	SE0001775735	PR			X
OMXHPI	OMX Helsinki_PI	FI0008900006	PR			X
OMXHSCGI	OMX_Helsinki_Small_Cap_GI	SE0001775768	GTR			
OMXHSCPI	OMX_Helsinki_Small_Cap_PI	SE0001775750	PR			X
OMXIFOI	OMXI-FO All Share GI	IS0000015097	GTR			
OMXIFOPI	OMXI-FO All Share PI	IS0000015105	PR			X
OMXIGI	OMX Iceland All-Share GI	IS0000009157	GTR			
OMXILCGI	OMX Iceland Large Cap GI	SE0002054148	GTR			
OMXILCPI	OMX Iceland Large Cap PI	SE0002054155	PR			X
OMXIMCGI	OMX Iceland Mid Cap GI	IS0000014207	GTR			
OMXIMCPI	OMX Iceland Mid Cap PI	IS0000014199	PR			X
OMXIPI	OMX Iceland All-Share PI	IS0000004463	PR			X
OMXISCGI	OMX Iceland Small Cap GI	IS0000014181	GTR			
OMXISCPI	OMX Iceland Small Cap PI	IS0000014173	PR			X
OMXN40	OMX Nordic 40	SE0001809476	PR			
OMXNLCDKKGI	OMX_Nordic_Large_Cap_DKK_GI	SE0001775594	GTR			
OMXNLCDKKPI	OMX_Nordic_Large_Cap_DKK_PI	SE0001775552	PR			X
OMXNLCEURGI	OMX_Nordic_Large_Cap_EUR_GI	SE0001775578	GTR			
OMXNLCEURPI	OMX_Nordic_Large_Cap_EUR_PI	SE0001775545	PR			X
OMXNLCISKGI	OMX_Nordic_Large_Cap_ISK_GI	SE0001933698	GTR			
OMXNLCISKPI	OMX_Nordic_Large_Cap_ISK_PI	SE0001933680	PR			X
OMXNLCSEKGI	OMX_Nordic_Large_Cap_SEK_GI	SE0001775610	GTR			
OMXNLCSEKPI	OMX_Nordic_Large_Cap_SEK_PI	SE0001775560	PR			X
OMXNMCDKKGI	OMX_Nordic_Mid_Cap_DKK_GI	SE0001776634	GTR			
OMXNMCDKKPI	OMX_Nordic_Mid_Cap_DKK_PI	SE0001776600	PR			X
OMXNMCEURGI	OMX_Nordic_Mid_Cap_EUR_GI	SE0001776626	GTR			
OMXNMCEURPI	OMX_Nordic_Mid_Cap_EUR_PI	SE0001776592	PR			X
OMXNMCISKGI	OMX_Nordic_Mid_Cap_ISK_GI	SE0001933714	GTR			
OMXNMCISKPI	OMX_Nordic_Mid_Cap_ISK_PI	SE0001933706	PR			X
OMXNMCSEKGI	OMX_Nordic_Mid_Cap_SEK_GI	SE0001776642	GTR			
OMXNMCSEKPI	OMX_Nordic_Mid_Cap_SEK_PI	SE0001776618	PR			X

OMXNORDICDKKGI	OMX_Nordic_DKK_GI	SE0001775651	GTR			
OMXNORDICDKKPI	OMX_Nordic_DKK_PI	SE0001775586	PR			X
OMXNORDICEURGI	OMX_Nordic_EUR_GI	SE0001775644	GTR			
OMXNORDICEURPI	OMX_Nordic_EUR_PI	SE0001775537	PR			X
OMXNORDICISKGI	OMX_Nordic_ISK_GI	SE0001933755	GTR			
OMXNORDICISKPI	OMX_Nordic_ISK_PI	SE0001933748	PR			X
OMXNORDICSEKGI	OMX_Nordic_SEK_GI	SE0001775677	GTR			
OMXNORDICSEKPI	OMX_Nordic_SEK_PI	SE0001775602	PR			X
OMXNSCDKKGI	OMX_Nordic_Small_Cap_DKK_GI	SE0001775693	GTR			
OMXNSCDKKPI	OMX_Nordic_Small_Cap_DKK_PI	SE0001775636	PR			X
OMXNSCEURGI	OMX_Nordic_Small_Cap_EUR_GI	SE0001775685	GTR			
OMXNSCEURPI	OMX_Nordic_Small_Cap_EUR_PI	SE0001775628	PR			X
OMXNSCISKGI	OMX_Nordic_Small_Cap_ISK_GI	SE0001933730	GTR			
OMXNSCISKPI	OMX_Nordic_Small_Cap_ISK_PI	SE0001933722	PR			X
OMXNSCSEKGI	OMX_Nordic_Small_Cap_SEK_GI	SE0001775701	GTR			
OMXNSCSEKPI	OMX_Nordic_Small_Cap_SEK_PI	SE0001775669	PR			X
OMXSBCAPGI	OMX Stockholm Benchmark Cap_GI	SE0000744237	GTR			
OMXSBCAPPI	OMX Stockholm Benchmark Cap_PI	SE0000744229	PR			X
OMXSBDGI	OMX Stockholm Benchmark_GI	SE0000744211	GTR			
OMXSBDGIEXP	OMX Stockholm Benchmark_GI Exp	SE0002176610	GTR			
OMXSBDPI	OMX Stockholm Benchmark_PI	SE0000744203	PR			X
OMXSBCAPGI	OMX Stockholm All-Share Cap_GI	SE0003045640	GTR			
OMXSBCAPPI	OMX Stockholm All-Share Cap_PI	SE0005133311	PR			X
OMXSGI	OMX Stockholm_GI	SE0002416156	GTR			
OMXSLCGI	OMX_Stockholm_Large_Cap_GI	SE0001775792	GTR			
OMXSLCPI	OMX_Stockholm_Large_Cap_PI	SE0001775784	PR			X
OMXSMCGI	OMX_Stockholm_Mid_Cap_GI	SE0001775818	GTR			
OMXSMCPI	OMX_Stockholm_Mid_Cap_PI	SE0001775800	PR			X
OMXSPI	OMX Stockholm_PI	SE0000744195	PR			X
OMXSSCGI	OMX_Stockholm_Small_Cap_GI	SE0001775826	GTR			
OMXSSCPI	OMX_Stockholm_Small_Cap_PI	SE0001775891	PR			X
SX0001GI	OMX Stockholm Oil & Gas GI	SE0004382588	GTR			
SX0001PI	OMX Stockholm Oil & Gas PI	SE0004383263	PR			X
SX0500GI	OMX Stockholm Oil & Gas GI	SE0004382604	GTR			
SX0500PI	OMX Stockholm Oil & Gas PI	SE0004383271	PR			X
SX0530GI	OMX Stockholm Oil & Gas Producers GI	SE0004382612	GTR			
SX0530PI	OMX Stockholm Oil & Gas Producers PI	SE0004383289	PR			X
SX0570GI	OMX Stockholm Oil Eqpt, Svcs & Distr GI	SE0004382620	GTR			
SX0570PI	OMX Stockholm Oil Eqpt, Svcs & Distr PI	SE0004383297	PR			X
SX0580GI	OMX Stockholm Alternative Energy GI	SE0004382638	GTR			
SX0580PI	OMX Stockholm Alternative Energy PI	SE0004383305	PR			X
SX1000GI	OMX Stockholm Basic Materials GI	SE0004382646	GTR			
SX1000PI	OMX Stockholm Basic Materials PI	SE0004383313	PR			X
SX1300GI	OMX Stockholm Chemicals GI	SE0004382653	GTR			
SX1300PI	OMX Stockholm Chemicals PI	SE0004383321	PR			X
SX1350GI	OMX Stockholm Chemicals GI	SE0004382661	GTR			

SX1350PI	OMX Stockholm Chemicals PI	SE0004383339	PR			X
SX1700GI	OMX Stockholm Basic Resources GI	SE0004382679	GTR			
SX1700PI	OMX Stockholm Basic Resources PI	SE0004383347	PR			X
SX1730GI	OMX Stockholm Forestry & Paper GI	SE0004382687	GTR			
SX1730PI	OMX Stockholm Forestry & Paper PI	SE0004383354	PR			X
SX1750GI	OMX Stockholm Industrial Metals & Mining GI	SE0004382695	GTR			
SX1750PI	OMX Stockholm Industrial Metals & Mining PI	SE0004383362	PR			X
SX1770GI	OMX Stockholm Mining GI	SE0004382703	GTR			
SX1770PI	OMX Stockholm Mining PI	SE0004383370	PR			X
SX2000GI	OMX Stockholm Industrials GI	SE0004382711	GTR			
SX2000PI	OMX Stockholm Industrials PI	SE0004383388	PR			X
SX2300GI	OMX Stockholm Construction & Materials GI	SE0004382729	GTR			
SX2300PI	OMX Stockholm Construction & Materials PI	SE0004383396	PR			X
SX2350GI	OMX Stockholm Construction & Materials GI	SE0004382737	GTR			
SX2350PI	OMX Stockholm Construction & Materials PI	SE0004383404	PR			X
SX2700GI	OMX Stockholm Industrial Goods & Services GI	SE0004382745	GTR			
SX2700PI	OMX Stockholm Industrial Goods & Services PI	SE0004383412	PR			X
SX2710GI	OMX Stockholm Aerospace & Defense GI	SE0004382752	GTR			
SX2710PI	OMX Stockholm Aerospace & Defense PI	SE0004383420	PR			X
SX2720GI	OMX Stockholm General Industrials GI	SE0004382760	GTR			
SX2720PI	OMX Stockholm General Industrials PI	SE0004383438	PR			X
SX2730GI	OMX Stockholm Electronic & Electrical Equipment GI	SE0004382778	GTR			
SX2730PI	OMX Stockholm Electronic & Electrical Equipment PI	SE0004383446	PR			X
SX2750GI	OMX Stockholm Industrial Engineering GI	SE0004382786	GTR			
SX2750PI	OMX Stockholm Industrial Engineering PI	SE0004383453	PR			X
SX2770GI	OMX Stockholm Industrial Transportation GI	SE0004382794	GTR			
SX2770PI	OMX Stockholm Industrial Transportation PI	SE0004383461	PR			X
SX2790GI	OMX Stockholm Support Services GI	SE0004382802	GTR			
SX2790PI	OMX Stockholm Support Services PI	SE0004383479	PR			X
SX3000GI	OMX Stockholm Consumer Goods GI	SE0004382810	GTR			

SX3000PI	OMX Stockholm Consumer Goods PI	SE0004383487	PR			X
SX3300GI	OMX Stockholm Automobiles & Parts GI	SE0004382828	GTR			
SX3300PI	OMX Stockholm Automobiles & Parts PI	SE0004383495	PR			X
SX3350GI	OMX Stockholm Automobiles & Parts GI	SE0004382836	GTR			
SX3350PI	OMX Stockholm Automobiles & Parts PI	SE0004383503	PR			X
SX3500GI	OMX Stockholm Food & Beverage GI	SE0004382844	GTR			
SX3500PI	OMX Stockholm Food & Beverage PI	SE0004383511	PR			X
SX3530GI	OMX Stockholm Beverages GI	SE0004382851	GTR			
SX3530PI	OMX Stockholm Beverages PI	SE0004383529	PR			X
SX3570GI	OMX Stockholm Food Producers GI	SE0004382869	GTR			
SX3570PI	OMX Stockholm Food Producers PI	SE0004383537	PR			X
SX3700GI	OMX Stockholm Personal & Household Goods GI	SE0004382877	GTR			
SX3700PI	OMX Stockholm Personal & Household Goods PI	SE0004383545	PR			X
SX3720GI	OMX Stockholm Hhld Goods & Hme Constr GI	SE0004382885	GTR			
SX3720PI	OMX Stockholm Hhld Goods & Hme Constr PI	SE0004383552	PR			X
SX3740GI	OMX Stockholm Leisure Goods GI	SE0004382893	GTR			
SX3740PI	OMX Stockholm Leisure Goods PI	SE0004383560	PR			X
SX3760GI	OMX Stockholm Personal Goods GI	SE0004382901	GTR			
SX3760PI	OMX Stockholm Personal Goods PI	SE0004383578	PR			X
SX3780GI	OMX Stockholm Tobacco GI	SE0004382919	GTR			
SX3780PI	OMX Stockholm Tobacco PI	SE0004383586	PR			X
SX4000GI	OMX Stockholm Health Care GI	SE0004382927	GTR			
SX4000PI	OMX Stockholm Health Care PI	SE0004383594	PR			X
SX4500GI	OMX Stockholm Health Care GI	SE0004382935	GTR			
SX4500PI	OMX Stockholm Health Care PI	SE0004383602	PR			X
SX4530GI	OMX Stockholm Health Care Equipment & Services GI	SE0003209899	GTR			
SX4530PI	OMX Stockholm Health Care Equipment & Services PI	SE0004392942	PR			X
SX4570GI	OMX Stockholm Pharmaceuticals & Biotechnology GI	SE0004382943	GTR			
SX4570PI	OMX Stockholm Pharmaceuticals & Biotechnology PI	SE0004383610	PR			X
SX5000GI	OMX Stockholm Consumer Services GI	SE0004382950	GTR			
SX5000PI	OMX Stockholm Consumer Services PI	SE0004383628	PR			X
SX5300GI	OMX Stockholm Retail GI	SE0004382968	GTR			
SX5300PI	OMX Stockholm Retail PI	SE0004383636	PR			X
SX5330GI	OMX Stockholm Food & Drug Retailers GI	SE0004382976	GTR			

SX5330PI	OMX Stockholm Food & Drug Retailers PI	SE0004383644	PR			X
SX5370GI	OMX Stockholm General Retailers GI	SE0004382984	GTR			
SX5370PI	OMX Stockholm General Retailers PI	SE0004383651	PR			X
SX5500GI	OMX Stockholm Media GI	SE0004382992	GTR			
SX5500PI	OMX Stockholm Media PI	SE0004383669	PR			X
SX5550GI	OMX Stockholm Media GI	SE0004383008	GTR			
SX5550PI	OMX Stockholm Media PI	SE0004383677	PR			X
SX5700GI	OMX Stockholm Travel & Leisure GI	SE0004383016	GTR			
SX5700PI	OMX Stockholm Travel & Leisure PI	SE0004383685	PR			X
SX5750GI	OMX Stockholm Travel & Leisure GI	SE0004383024	GTR			
SX5750PI	OMX Stockholm Travel & Leisure PI	SE0004383693	PR			X
SX6000GI	OMX Stockholm Telecommunications GI	SE0004383032	GTR			
SX6000PI	OMX Stockholm Telecommunications PI	SE0004383701	PR			X
SX6500GI	OMX Stockholm Telecommunications GI	SE0004383040	GTR			
SX6500PI	OMX Stockholm Telecommunications PI	SE0004383719	PR			X
SX6530GI	OMX Stockholm Fixed Line Telecommunications GI	SE0004383057	GTR			
SX6530PI	OMX Stockholm Fixed Line Telecommunications PI	SE0004383727	PR			X
SX6570GI	OMX Stockholm Mobile Telecommunications GI	SE0004383065	GTR			
SX6570PI	OMX Stockholm Mobile Telecommunications PI	SE0004383735	PR			X
SX7000GI	OMX Stockholm Utilities GI	SE0004383073	GTR			
SX7000PI	OMX Stockholm Utilities PI	SE0004383743	PR			X
SX7500GI	OMX Stockholm Utilities GI	SE0004383081	GTR			
SX7500PI	OMX Stockholm Utilities PI	SE0004383750	PR			X
SX7530GI	OMX Stockholm Electricity GI	SE0004383099	GTR			
SX7530PI	OMX Stockholm Electricity PI	SE0004383768	PR			X
SX7570GI	OMX Stockholm Gas, Water & Multi-utilities GI	SE0004383107	GTR			
SX7570PI	OMX Stockholm Gas, Water & Multi-utilities PI	SE0004383776	PR			X
SX8000GI	OMX Stockholm Financials GI	SE0004383115	GTR			
SX8000PI	OMX Stockholm Financials PI	SE0004383784	PR			X
SX8300GI	OMX Stockholm Banks GI	SE0004383123	GTR			
SX8300PI	OMX Stockholm Banks PI	SE0004383792	PR			X
SX8350GI	OMX Stockholm Banks GI	SE0004383131	GTR			
SX8350PI	OMX Stockholm Banks PI	SE0004383800	PR			X
SX8500GI	OMX Stockholm Insurance GI	SE0004383149	GTR			
SX8500PI	OMX Stockholm Insurance PI	SE0004383818	PR			X
SX8530GI	OMX Stockholm Nonlife Insurance GI	SE0004383156	GTR			
SX8530PI	OMX Stockholm Nonlife Insurance PI	SE0004383826	PR			X



SX8570GI	OMX Stockholm Life Insurance GI	SE0004383164	GTR			
SX8570PI	OMX Stockholm Life Insurance PI	SE0004383834	PR			X
SX8600GI	OMX Stockholm Real Estate GI	SE0004383172	GTR			
SX8600PI	OMX Stockholm Real Estate PI	SE0004383842	PR			X
SX8630GI	OMX Stockholm Real Estate Investment & Services GI	SE0004383180	GTR			
SX8630PI	OMX Stockholm Real Estate Investment & Services PI	SE0004383859	PR			X
SX8670GI	OMX Stockholm Real Estate Investment Trusts GI	SE0004383198	GTR			
SX8670PI	OMX Stockholm Real Estate Investment Trusts PI	SE0004383867	PR			X
SX8700GI	OMX Stockholm Financial Services GI	SE0004383206	GTR			
SX8700PI	OMX Stockholm Financial Services PI	SE0004383875	PR			X
SX8770GI	OMX Stockholm Financial Services GI	SE0004383214	GTR			
SX8770PI	OMX Stockholm Financial Services PI	SE0004383883	PR			X
SX9000GI	OMX Stockholm Technology GI	SE0004383222	GTR			
SX9000PI	OMX Stockholm Technology PI	SE0004383891	PR			X
SX9500GI	OMX Stockholm Technology GI	SE0004383230	GTR			
SX9500PI	OMX Stockholm Technology PI	SE0004383909	PR			X
SX9530GI	OMX Stockholm Software & Computer Services GI	SE0004383248	GTR			
SX9530PI	OMX Stockholm Software & Computer Services PI	SE0004383917	PR			X
SX9570GI	OMX Stockholm Technology Hardware & Equipment GI	SE0004383255	GTR			
SX9570PI	OMX Stockholm Technology Hardware & Equipment PI	SE0004383925	PR			X